

Criminal Organizations

419.1 PURPOSE AND SCOPE

The purpose of this policy is to ensure the Santa Ana Police Department appropriately utilizes criminal intelligence systems and temporary information files to support investigations of criminal organizations and enterprises.

419.1.1 DEFINITIONS

Criminal Intelligence System - Any record system that receives, stores, exchanges or disseminates information that has been evaluated and determined to be relevant to the identification of a criminal organization or enterprise, its members or affiliates. This does not include temporary information files.

Shared Gang Database shall have the same meaning as defined in Penal Code section 186.34(a) (4).

Temporary Information Files - Information that does not meet criteria for permanent inclusion into a criminal intelligence system, but may be used to develop criterion for inclusion. Temporary files shall not be retained for longer than one year, unless the information contained in the file meets the requirements for inclusion into a criminal intelligence system.

419.2 POLICY

The Santa Ana Police Department recognizes that certain criminal activities, including but not limited to gang crimes and drug trafficking, often involve some degree of regular coordination and may involve a large number of participants over a broad geographical area.

It is the policy of this department to collect and share relevant information while respecting the privacy and legal rights of the public.

419.3 CRIMINAL INTELLIGENCE SYSTEMS

No department member may create, submit to or obtain information from a criminal intelligence system unless the Chief of Police has approved the system for department use.

Any criminal intelligence system approved for department use should meet or exceed the standards of 28 CFR 23.20.

A designated supervisor will be responsible for maintaining each criminal intelligence system that has been approved for department use. The supervisor or the authorized designee should ensure the following:

- (a) Members using any such system are appropriately selected and trained.
- (b) Use of every criminal intelligence system is appropriately reviewed and audited.
- (c) Any system security issues are reasonably addressed.

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419.3.1 SYSTEM ENTRIES

It is the designated supervisor's responsibility to approve the entry of any information from a report, field interview card, photo or other relevant document into an authorized criminal intelligence system. If entries are made based upon information that is not on file with this department, such as open or public source documents or documents that are on file at another agency, an Information Report or Supplemental Report shall be prepared. At a minimum, the report should describe the content and origin of the document(s). The designated supervisor should ensure copies of those documents are attached to the report and retained by the Records Division. If the file size of the document(s) is too large they should be booked into evidence.

Any Crime Report, Crime-Arrest Report, Supplemental Report, Supplemental-Arrest Report, Information Report, Missing Person's Report, or Field Interview card used for entry shall be retained by the Records Division in accordance with the established records retention schedule and for at least as long as the entry is maintained in the system. The Records Manager may not purge such documents without the approval of the designated supervisor. All Santa Ana Police Department, Proof of Personal Service of Determination and Field Interview Report Forms used for entry shall be stored in a secured location within the Investigations Bureau. The designated supervisor will ensure the Proof of Personal Service of Determination and Field Interview Report Forms are retained in accordance with the established records retention schedule and for at least as long as the entry is maintained in the system of the designated supervisor.

419.3.2 GANG DATABASES

The Chief of Police may approve participation by the Gang Unit in a shared criminal gang intelligence database, such as CALGANG®. Members must obtain the requisite training before accessing any such database (11 CCR 751.6).

It is the Gang Unit supervisor's responsibility to determine whether any report or FI contains information that would qualify for entry into the database. Prior to designating any person as a suspected gang member, associate, or affiliate in a shared gang database; or submitting a document to the Attorney General's office for the purpose of designating a person in a shared gang database; or otherwise identifying the person in a shared gang database, the Gang Unit supervisor shall provide written notice to the person and, if the person is under the age of 18, to his/her parent or guardian of the designation and the basis for the designation, unless providing that notification would compromise an active criminal investigation or compromise the health or safety of a minor. Notice shall also describe the process to contest the designation (Penal Code § 186.34).

The person, an attorney working on his/her behalf, or his/her parent or guardian (if the person is under 18 years of age) may request, in writing, information as to whether the person is designated as a suspected gang member, associate, or affiliate in a shared gang database accessible by the Department, the basis for that designation, and the name of the agency that made the designation. The Department shall respond to a valid request in writing within 30 days, and shall provide the information requested unless doing so would compromise an active investigation or compromise the health and safety of the person if he/she is under 18 years of age (Penal Code § 186.34).

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The person, or his/her parent or guardian if the person is under 18 years of age, may contest the designation by submitting written documentation, which shall be reviewed by the gang unit supervisor. If it is determined that the person is not a suspected gang member, associate, or affiliate, the person shall be removed from the database. The person and the parent or guardian shall be provided written verification of the department's decision within 30 days of receipt of the written documentation contesting the designation and shall include the reason for a denial when applicable (Penal Code § 186.34).

The Gang Unit supervisor should forward reports or FIs to the Records Division after appropriate database entries are made. The supervisor should clearly mark the report/FI as gang intelligence information.

It is the responsibility of the Records Division supervisor to retain reports and FIs in compliance with the database rules and any applicable end user agreement.

Records contained in a shared gang database shall not be disclosed for employment or military screening purposes, and shall not be disclosed for the purpose of enforcing federal immigration law unless required by state or federal statute or regulation (Penal Code § 186.36).

419.4 TEMPORARY INFORMATION FILE

No member of the department may create or keep files on individuals that are separate from the approved criminal intelligence system. However, members may maintain temporary information that is necessary to actively investigate whether a person or group qualifies for entry into the department-approved criminal intelligence system only as provided in this section. Once information qualifies for inclusion, it should be submitted to the supervisor responsible for consideration of criminal intelligence system entries.

419.4.1 FILE CONTENTS

A temporary information file may only contain information and documents that, within one year, will have a reasonable likelihood to meet the criteria for entry into an authorized criminal intelligence system.

Information and documents contained in a temporary information file:

- (a) Must only be included upon documented authorization of the responsible department supervisor
- (b) Should not be originals that would ordinarily be retained by the Records Division or Property and Facilities Division, but should be copies of, or references to, retained documents such as copies of reports, field interview forms, Communications Division records or booking forms
- (c) Shall not include opinions. No person, organization or enterprise shall be labeled as being involved in crime beyond what is already in the document or information
- (d) May include information collected from publicly available sources or references to documents on file with another government agency. Attribution identifying the source should be retained with the information

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419.4.2 FILE REVIEW AND PURGING

The contents of a temporary information file shall not be retained longer than one year. At the end of one year, the contents must be purged or entered in an authorized criminal intelligence system, as applicable.

The designated supervisor shall periodically review the temporary information files to verify that the contents meet the criteria for retention. Validation and purging of files is the responsibility of the supervisor.

419.5 INFORMATION RECOGNITION

Department members should document facts that suggest an individual, organization or enterprise is involved in criminal activity and should forward that information appropriately. Examples include, but are not limited to:

- (a) Gang indicia associated with a person or residence
- (b) Information related to a drug-trafficking operation
- (c) Vandalism indicating an animus for a particular group
- (d) Information related to an illegal gambling operation

Department supervisors who utilize an authorized criminal intelligence system should work with the Training Division or the appropriate authority responsible for the criminal intelligence system to train members to identify information that may be particularly relevant for inclusion.

419.6 RELEASE OF INFORMATION

Department members shall comply with the rules of an authorized criminal intelligence system regarding inquiries and release of information.

Information from a temporary information file may only be furnished to department members and other law enforcement agencies on a need-to-know basis and consistent with the Records Maintenance and Release Policy.

When an inquiry is made by the parent or guardian of a juvenile as to whether that juvenile's name is in a temporary information file, such information should be provided by the supervisor responsible for the temporary information file, unless there is good cause to believe that the release of such information might jeopardize an ongoing criminal investigation or compromise the health and safety of a minor.

419.7 CRIMINAL STREET GANGS

The Crimes Against Persons Commander should ensure that there are an appropriate number of department members who can:

- (a) Testify as experts on matters related to criminal street gangs, and maintain an above average familiarity with:
 1. Any organization, associate or group of three or more persons that meets the definition of a criminal street gang under Penal Code § 186.22(f)

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2. Identification of a person as a criminal street gang member and criminal street gang- related crimes
 3. The California Street Terrorism Enforcement and Prevention Act (Penal Code §186.21 et seq.), associated crimes and what defines a criminal street gang (Penal Code § 186.22)
- (b) Coordinate with other agencies in the region regarding criminal street gang-related crimes and information
 - (c) Train other members to identify gang indicia and investigate criminal street gang-related crimes

419.8 TRAINING

The Training Division or the appropriate authority responsible for the criminal intelligence system should provide training on best practices in the use of each authorized criminal intelligence system to those tasked with investigating criminal organizations and enterprises. Training should include:

- (a) The protection of civil liberties
- (b) Participation in a multiagency criminal intelligence system
- (c) Submission of information into a multiagency criminal intelligence system or the receipt of information from such a system, including any governing federal and state rules and statutes
- (d) The type of information appropriate for entry into a criminal intelligence system or temporary information file
- (e) The review and purging of temporary information files

419.8.1 SHARED GANG DATABASE TRAINING

The Metropolitan Division Commander should ensure that members who are authorized users of a shared gang database receive the required training from the California Department of Justice (DOJ) or an instructor certified by the DOJ that includes comprehensive and standardized training on the use of shared gang databases, and any other associated training required by the Department (Penal Code § 186.36; 11 CCR 751.6).