CITY OF SANTA ANA
FY 2016-17 GENERAL FUND TRIAL BUDGET

DAVID CAVAZOS, CITY MANAGER
April 19, 2016
AGENDA

• City Manager’s Budget Message
• City Fiscal Turnaround
• Review of current year trends and budget
• Fiscal Year 2016-17 General Fund Forecast
• Fiscal Year 2016-17 General Fund Trial Budget and Assigned Programs
• Fiscal Year 2016-17 Capital Improvement Program
• Budget Schedule and Next Steps
CITY MANAGER’S BUDGET MESSAGE
Thank You!!!!

• Thank you Mayor & Councilmembers for your faith and confidence in the City’s management team & City employees

• Thank you to all of our residents & business community
City Manager’s Budget Message

✓ Continue to provide a structurally balanced budget

✓ Successfully negotiated 2-year labor agreements

✓ Achievement of 20% Reserve Levels

✓ Consistent & sustainable forecast

✓ Funded millions of dollars to new programs and services such as the Strategic Plan and added over 100 positions

✓ Promoted a culture of innovation and efficiency

✓ Achieved a “AA” Credit Rating from Standard & Poor’s
CITY FISCAL TURNAROUND
And What a Turnaround

Projected Assumptions:
- Santa Ana to experience structural deficits
- Depletion of General Fund Balance by FY 15-16 with increasing deficits in outer years
- NO Cost of Living Adjustments (COLA)
- Cap employee health insurance contributions
- Management Partners concluded that Sales Tax, Property Tax & UUT of the top 6 revenues would experience a 5% decrease
Projected Assumptions (Cont’d):

- Projected an operating deficit in FY 12/13
- Decrease in staffing
- No Hiring
- Freeze spending on maintenance
- Recommended outsourcing of significant City operations:
  - Parking Operations
  - Library Operations
  - The Santa Ana Zoo
  - Fleet Operations
Previous General Fund Forecast

September 2013

Millions

13/14 | 14/15 | 15/16 | 16/17 | 17/18 | 18/19

Revenues:
- 202.6
- 204.5
- 208.0
- 212.5
- 219.4
- 224.9
- 223.7
- 234.5

Expenditures:
- 201.7
- 201.9
- 213.1
- 218.3
- 224.9
- 234.5
And Now, The Results…

**Remarkable Progress**

- In the last two FYs (FY 13-14 & FY 14-15), the City has generated over $25M in surplus

- Since FY 12-13, the Unassigned Fund Balance has increased by 50%

- Successful labor relations with city bargaining units resulting in a 2.5% increase for fiscal year 2015-16 and fiscal year 2016-17.

- City maintains funding levels for employee health insurance and the anticipated annual increases

- Sales Tax has increased by 10.5% since FY 12-13

- Property Tax has increased by 9.3% since FY 12-13

- Utility User’s Tax has increased by 2.1%

- Modernized the Utility User’s Tax ordinance and solidified our 4th largest General Fund revenue source

Thank you City Council!
Pension Funding

Higher Funding Levels!

- City has established a Pension Stability Reserve
  - FYE 6/30/2015 balance of $500,000
- City is exploring the establishment of an irrevocable pension trust fund

Funding Status

<table>
<thead>
<tr>
<th>Year</th>
<th>Safety</th>
<th>Miscellaneous</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>69%</td>
<td>65%</td>
</tr>
<tr>
<td>2011</td>
<td>77%</td>
<td>73%</td>
</tr>
<tr>
<td>2012</td>
<td>72%</td>
<td>68%</td>
</tr>
<tr>
<td>2013</td>
<td>75%</td>
<td>71%</td>
</tr>
<tr>
<td>2014</td>
<td>78%</td>
<td>75%</td>
</tr>
</tbody>
</table>

Safety: 9% Increase
Misc.: 10% Increase

CITY FISCAL TURNAROUND
Other Post-Employment Benefits

City has successfully reduced its OPEB Exposure!

June 30, 2011
OPEB $122.7M

June 30, 2015
OPEB $39.3M

June 30, 2016
Projected $25M

80% DECREASE

Projected $97.7M two-year Reduction

$83.4M

$14.3M
Continued Growth of General Fund Revenue Base

- FY 14-15 year-end revenues exceeded budget by $3.3 Million or 2.3% ★

- FY 14-15 TOP 6 REVENUE SOURCES

  - Sales Tax ........................................ $43,232,124
  - Property Tax .................................... $30,866,045
  - Property Tax in Lieu VLF ...................... $27,552,574
  - Utility Users Tax ............................... $24,918,869
  - Business Tax ................................. $11,342,904
  - Hotel Visitors Tax ........................... $8,983,179
SUCCESSFUL IMPLEMENTATION OF PENSION LIABILITY (GASB 68)

POSITIVE FINANCIAL POSITION – approximately $800M

Compared to State of California’s NEGATIVE financial position – $40.9 billion
Standard & Poor’s Credit Analysis

• Standard & Poor’s (S&P), an independent and nationally recognized Credit Rating Agency, issued the City a “AA” general credit rating.

• City strengths identified by S&P:
  – Solid policy framework and implementation
  – Proven and experienced management team
  – Innovative and efficient improvements in operations
  – Effective resource utilization – doing more with less
  – Strong employee relations and successful labor negotiations
  – Revenue enhancements and excellent fiscal management
Continued Progress

- The City has added 101 positions
  - Including 42 Police Department positions
- Invested in Deferred Maintenance projects
- Modernized the Parking Operations resulting in an increase in parking revenue
- Enhanced the Library operations by expanding the hours of operation to Sundays and purchasing Library books and E-books
- Developing a Zoo Master Plan
- Provided funding for City’s Vehicle Replacement Plan (replaced 38 vehicles)
- Enhanced the Amazon’s Edge exhibit (waterfall, lake, howler monkeys, several bird species & the Giant River Otters)
Positions Added

Police Department:
- 36 Police Officers
- 2 Police Sergeants
- 1 Lieutenant
- 1 Police Evidence & Supply Specialist
- 1 Accounting Assistant
- 1 Management Analyst

Parks and Recreation:
- 2 Recreation Program Coordinator
- 1 Recreation Leader
- 1 Community Events Supervisor
- 1 Zoo Education Specialist

Planning & Building:
- 4 Community Preservation Inspectors
- 3 Combination Building Inspectors
- 3 Senior Plan Check Engineers
- 2 Assistant Planners
- 2 Associate Planners
- 2 Senior Planners
- 1 Permit Processor
- 1 Senior Combination Building Inspector
- 1 Senior Plumbing/Mechanical Systems Specialist
- 1 Principal Planner

PD: 42 Positions
PRCSA: 5 Positions
PBA: 20 Positions
Positions Added

Public Works:
- 3 Water Services Worker II
- 3 Project Managers
- 2 GIS Systems Analyst/Programmer
- 2 Management Aides
- 2 Management Analysts
- 1 Community Liaison
- 1 NPDES Manager
- 1 Safety Coordinator
- 1 Senior Office Assistant
- 1 Senior Water Maintenance Supervisor
- 1 Water Systems Operator II
- 1 Water Systems Operator III

Finance:
- 1 Revenue & Contract Compliance Officer
- 1 Buyer
- 1 Treasury Services Specialist
- 1 Supervising Accountant
- 1 Management Aide
- 1 Parking Meter Technician I

PWA: 19 Positions
FMSA: 6 Positions

CITY FISCAL TURNDOWN
Positions Added

Personnel:
- 1 Training Coordinator
- 1 Personnel Technician
- 1 Sr. Personnel Analyst

City Attorney’s Office:
- 1 Assistant City Attorney
- 1 Paralegal

City Manager’s Office:
- Deletion of 1 Deputy City Manager
- 2 Senior Management Assistants
- 1 Communications Manager

Information Services Department:
- 1 Chief Tech. Innovations Officer
- 1 Project Management Officer

Added a total of 101 Positions

Various Depts.: 9

As a result of the Strategic Plan, 40 INTERNS have been hired Citywide!
Continued Accomplishments

UNASSIGNED FUND BALANCE

Millions

FY 09-10

14-15

$3.0

$45.9

1,430% Growth!
FY 14-15
Year-End Results
Total General Fund

<table>
<thead>
<tr>
<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>Total Revenues</td>
<td>$ 208,918,360</td>
<td>$ 220,225,903</td>
<td>$ 11,307,543 5.4%</td>
</tr>
<tr>
<td>Total Expenditures</td>
<td>$ 208,918,360</td>
<td>$ 208,443,008</td>
<td>$ (475,352) -0.2%</td>
</tr>
</tbody>
</table>

- The year-end balance/surplus is approximately:

  +$11,782,895

  Total of approximately $25M over two years
FY 2014-15 Surplus Plan

Surplus Allocation Monies - $11.8 million

- Parks
- Safety/Security
- Technology
- Investment
- Facilities/Assets
- Fully Funded 20% Operating Reserve Goal

- Facilities / Assets $2,885,000 26%
- Investment $2,550,000 23%
- Parks $1,870,200 17%
- Safety / Security $1,290,000 11%
- Technology $2,653,600 23%
Surplus Allocation

- Surplus Allocation Monies of $11.8M in October 2015
  - Technology - $2.7M
    - Computer/Technology Replacements and Software Enhancements
    - Communication Upgrades
  - Investment in City - $2.6M
    - General Plan Update
    - Branding Study
    - Pension Stability
  - City Facility/Assets - $2.9M
    - HVAC Projects – Library and Community Centers
    - Vehicle Replacement
    - Calle Cuatro/Plaza Calle Cuatro
  - Parks - $1.9M
    - Playground Equipment and Basketball Court/Trail Resurfacing
    - Restroom Renovations
  - Public Safety/Security - $1.3M
    - 200 Body Cameras,
    - Security Lights at eight parks
    - Homeless efforts
REVIEW OF TRENDS & CURRENT YEAR BUDGET
Economic Profile

Declining Unemployment Rate

Source: California Employment Development Department
All Data Are Not Adjusted Seasonally

*March Preliminary
Strong Growth in Sales Tax Revenues

- Aggregate taxable sales and sales per capita have been growing each consecutive year since 2010 and have met or are approaching pre-recessionary levels.

24% Growth

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Sales Tax Revenue ($mm)</th>
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</thead>
<tbody>
<tr>
<td>FY 11-12</td>
<td>$37 mm</td>
</tr>
<tr>
<td>FY 12-13</td>
<td>$38 mm</td>
</tr>
<tr>
<td>FY 13-14</td>
<td>$39 mm</td>
</tr>
<tr>
<td>FY 14-15</td>
<td>$41 mm</td>
</tr>
<tr>
<td>FY 15-16 BUDGET</td>
<td>$46 mm</td>
</tr>
</tbody>
</table>
Strong Property Values and Assessed Valuation

Assessed Valuation has Rebounded to New Highs

*Reflects 36.5% growth from Sept. 2014 – Sept. 2015 for zip code 92701*
Property Tax Revenues Exceed Pre-Recession Levels

General Fund Property Tax (All) Revenues*

* Includes Secured and Unsecured Property Tax

Up 17% since 2012

FY 11-12: $52
FY 12-13: $54
FY 13-14: $56
FY 14-15: $58
FY 15-16 Budget: $61

* Includes Secured and Unsecured Property Tax
Strong Growth in Hotel Visitors Tax and Business License Revenues

### Hotel Visitors Tax Revenue
- **FY 11-12**: $7 mm
- **FY 12-13**: $7 mm
- **FY 13-14**: $7 mm
- **FY 14-15**: $9 mm
- **FY 15-16 BUDGET**: $9 mm

29% Growth

### Business License Revenue
- **FY 11-12**: $10 mm
- **FY 12-13**: $10 mm
- **FY 13-14**: $10 mm
- **FY 14-15**: $11 mm
- **FY 15-16 BUDGET**: $11 mm

10% Growth

**27,962 Business Licenses!**
## FY 15-16 Preliminary Projected Year-End Results (as of Feb. 2016)

<table>
<thead>
<tr>
<th>REVENUE SOURCE</th>
<th>FY 2015-16 ADOPTED BUDGET</th>
<th>FY 2015-16 PROJECTED YEAR-END</th>
<th>VARIANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sales Tax</td>
<td>$45,500,000</td>
<td>$45,588,928</td>
<td>88,928</td>
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<tr>
<td>Property Tax</td>
<td>$31,600,000</td>
<td>$32,198,104</td>
<td>598,104</td>
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<tr>
<td>Property Tax In-Lieu of VLF</td>
<td>$28,800,000</td>
<td>$28,869,469</td>
<td>69,469</td>
</tr>
<tr>
<td>UUT*</td>
<td>27,780,000</td>
<td>25,284,435</td>
<td>(2,495,565)</td>
</tr>
<tr>
<td>Business License</td>
<td>11,490,000</td>
<td>11,543,414</td>
<td>53,414</td>
</tr>
<tr>
<td>Hotel Visitors Tax</td>
<td>8,800,000</td>
<td>9,190,599</td>
<td>390,599</td>
</tr>
</tbody>
</table>
**FY 15-16 Preliminary Projected Year-End Results (as of Feb. 2016)**

*Revenue Challenges*:

<table>
<thead>
<tr>
<th>PROGRAM</th>
<th>VARIANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jail Revenue</td>
<td>(4,265,000)</td>
</tr>
<tr>
<td>UUT-Electric, Gas &amp; Water</td>
<td>(2,495,565)</td>
</tr>
<tr>
<td><strong>UUT-Telecommunications up by 11.59%</strong></td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>Approx. ($6.8M)</td>
</tr>
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</table>

Management of Projected Expenditures: $13M under Budget

Projected Surplus for FY 15-16 of approx. $1.5M-$3.5M

The City utility costs have substantially decreased
* Explanatory Notes:*

- **UUT:**
  - Electric: Down by 5.4% on a year-over-year basis reflecting milder than anticipated forecast temperatures. Citywide facilities experienced an approx. 1.5m kw reduction.
  - Gas: Down by 9.01% on a year-over-year basis reflecting a reduction on the price of natural gas (approx. 55% decline since June 2014)
  - Water: Down by 2.73% on a year-over-year basis reflecting citywide water conservation efforts.
  - Telecommunications: Up by 11.59% on a year-over-year basis reflecting modernization of City’s Utility User’s Tax Ordinance.
FISCAL YEAR 2016-17
GENERAL FUND FORECAST
FY 16-17 Forecast Assumptions

• Incorporates achievement of 20% General Fund reserve level of $41.5M and Economic Uncertainty Reserve of $3.8M

• Another conservative Sales Tax projection of 2.2%

“...it would be short-sighted in the extreme to now embark upon a host of new spending only to see massive cuts when the next recession hits[....]fiscal restraint must be the order of the day.”

- Governor Brown, January 7, 2016

• Total Property Tax moderate growth of 4.5% (outlook: stable)

• Utility User’s Tax will reflect water conservation efforts and decrease in the price of natural gas as well as the expansion of Telecommunications (outlook: stable)

• Business License increase of 1.5%

• Hotel Visitors tax moderate increase of 2.5%

• Ongoing innovation and efficiency savings of $2.1M
FY 16-17 Forecast Assumptions (Cont’d)

- Reflects Pension Rate increases for FY 16-17:
  - Safety - 54.438% (increase of nearly 8% from FY 15-16)
  - Miscellaneous – 31.0% (increase of nearly 2.5% from FY 15-16)
  - Includes Projections through FY 20-21
    - Safety – 73.6% (FY 20-21)
    - Miscellaneous – 40.4% (FY 20-21)

- Orange County Fire Authority growth of 3.75% (increase of approx. $1.4M) mandated by agreement

- Includes negotiated Salary Increases of 2.5% (approx. $2.7M)
- Health Insurance Increases of approx. 8% ($1.1M)
- Includes funding for the Strategic Plan of $2.5M annually
- Includes funding for 800MHz (approx. $600k)

**NO REDUCTION IN PROGRAMS AND SERVICES**
* Consistent and sustainable forecast allows for maintaining current service levels and Trial Budget Supplemental Requests

FY 16-17 Forecast & Four-Year Projections

General Fund Forecast

<table>
<thead>
<tr>
<th>Year</th>
<th>Revenues (Mil.)</th>
<th>Expenditures (Mil.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>16/17</td>
<td>230.1</td>
<td>228.4</td>
</tr>
<tr>
<td>17/18</td>
<td>235.9</td>
<td>233.4</td>
</tr>
<tr>
<td>18/19</td>
<td>240.5</td>
<td>239.3</td>
</tr>
<tr>
<td>19/20</td>
<td>244.9</td>
<td>244.9</td>
</tr>
<tr>
<td>20/21</td>
<td>248.7</td>
<td>248.5</td>
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</table>
FISCAL YEAR 2016-17
GENERAL FUND
TRIAL BUDGET &
ASSIGNED PROGRAMS
Sec. 604 - Budget Preparation by the City Manager. In preparing the proposed City budget, the City Manager shall review the financial plans submitted, hold conferences thereon with the office, agency and department heads, respectively, and revise such plans as he may deem advisable.

Sec. 605 – Submission to the City Council. On or before the fifteenth (15th) day of June of each year, the City Manager shall recommend and submit to the City Council a proposed budget for the next ensuing fiscal year and a proposed appropriation ordinance as prepared by him.

Sec. 607 – Further Consideration and Adoption. On or before the thirty-first (31st) day of July the City Council shall adopt the budget with revisions, if any, by the affirmative votes of at least a majority of its members. Upon final adoption, the budget shall be in effect for the ensuing fiscal year.
FY 2016-17 General Fund 11 Revenue Sources
Estimated Revenues: $228,970,000

Sales Tax
$46,504,000 (20.3%)

Property Tax
$33,022,000 (14.4%)

Property Tax In Lieu VLF
$30,096,000 (13.2%)

Franchise Fees
$3,308,620 (1.5%)

Fines
$4,877,455 (2.1%)

Intergovernmental
$8,521,802 (3.7%)

Hotel Visitors' Tax
$9,430,000 (4.1%)

Charges for Services & Fees
$10,244,709 (4.5%)

Licenses and Permits
$4,654,774 (2.0%)

Business Tax
$11,632,323 (5.1%)

Miscellaneous
$10,331,856 (4.5%)

Other Taxes
$11,548,160 (5.1%)

Use of Money & Property
$17,018,300 (7.4%)

Utility Users Tax
$27,780,000 (12.1%)
FY 2016-17 General Fund 11 Appropriations
$228,970,000

*Includes best practice of budgeting for attrition savings

FISCAL YEAR 2016-17 GENERAL FUND TRIAL BUDGET AND ASSIGNED PROGRAMS
Strategic Plan Goals

- Community Safety
- Youth, Education, Recreation
- Economic Development
- City Financial Stability
- Community Health, Livability, Engagement & Sustainability
- Community Facilities & Infrastructure
- Team Santa Ana
## FY 2016-17 General Fund
### Trial Budget Assigned Programs & Supplementals by Strategic Plan Goals

<table>
<thead>
<tr>
<th>STRATEGIC PLAN GOALS</th>
<th>Amount</th>
</tr>
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<tbody>
<tr>
<td>Community Safety (Pedestrian Mobility Safety)</td>
<td>$ 3.3M</td>
</tr>
<tr>
<td>Youth, Education, &amp; Recreation</td>
<td>1.1M</td>
</tr>
<tr>
<td>Economic Development</td>
<td>3.0M</td>
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<tr>
<td>City Financial Stability</td>
<td>2.5-4.5M</td>
</tr>
<tr>
<td>Community Health, Livability, Engagement &amp; Sustainability</td>
<td>600k</td>
</tr>
<tr>
<td>Community Facilities &amp; Infrastructure</td>
<td>250k</td>
</tr>
<tr>
<td>Team Santa Ana</td>
<td>240k</td>
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</table>

**TOTAL Trial Budget Assigned Programs & Supplementals** $11.0-13.0M

| Capital Improvement Program                               | $55.3M   |

*Proposed assignment of fund balance or other funding sources will be designated with an asterisk in the succeeding pages*
Community and Pedestrian Mobility Safety ($3.3M)

- Matching funds associated with COPS grant ($1.5M) – 10 Police Officers
- Additional resources for Community Policing and Prevention Programs ($1.0M) – 6 Police Officers
- Proposed funding for Orange County Animal Shelter ($550k)*
- Funding for Safe Mobility Santa Ana (SMSA) ($250K)
Sworn Staffing Levels
FY 2012-13 to FY 2015-16

* Reflects COPS Grant for 10 Police Officer Positions
Sworn Staffing Levels
FY 2012-13 to FY 2015-16

FY 13-14:
• 74 Positions eliminated

FY 14-15 Added:
• 1 MMD Sergeant
• 5 MMD Police Officers
• 10 COPs Grant Officer

FY 15-16 Added:
• 1 Police Lieutenant
• 7 Patrol Study Officers
• 1 Special Projects Sergeant
• 4 Downtown Officers
• 10 COPs Grant Officer
Recruitment Activity for Police Recruits and Laterals

Number of Applications Received

- FY 2012-13: 1,043
- FY 2013-14: 2,692
- FY 2014-15: 4,575
- FY 2015-16 Projection: 3,119
Sworn Hiring and Separations
Calendar Year 2013 through 2016 YTD

Hired | Separated
---|---
2013: 8 | 22
2014: 17 | 30
2015: 34 | 33
2016 Projection: 60 | 20

FISCAL YEAR 2016-17 GENERAL FUND TRIAL BUDGET AND ASSIGNED PROGRAMS
Youth, Education, Recreation ($1.1M)

- Park Systems Enhancements ($465K)
  - Water-Wise Management
  - Park Monitoring (Enhance Customer Service & Emergency Response)
  - Tree-Trimming
- Funding for a development of Santiago Park Cultural Amphitheater to create cultural and educational programs.* ($400K)
- Security enhancements and other programs ($150k)
- Youth programs to enhance literacy, computer usage, & Summer Programs ($70k)
- Provide nutritious meals to the Senior community ($15k)
Economic Development ($3.0M)

- Incorporates $2.0M for City branding proposed priorities, and various Economic Development & Infrastructure Projects*:
  - South Main - $400K
  - Harbor Boulevard Corridor - $400K
  - Downtown - $400K
  - Seventeenth St Business Corridor - $400K
  - Additional Projects TBD - $400K

- Includes $1.0M in funding for Economic Development (Public/Private Partnership)*:
  - Partner with stakeholders to enhance City Economic Development efforts
  - Develop an incentive program to provide increased sales tax and economic activity
  - Implementation of a branding & marketing campaign
  - Enhance business attraction and retention efforts
City Financial Stability
($2.5M – $4.5M)

- Additional allocation to augment the Economic Uncertainty portion of the Fund Balance* ($1.0M – $3.0M)
- Establish a Jail Revenue Stability Fund* ($1.0M)
- Additional allocation to the Pension Stability Fund* ($500K)
Community Health, Livability, Engagement & Sustainability ($600K)

- Allocation of funds for Community Engagement and Partnership for Safety & Greater Prosperity* ($500K)
  - Non-Profit Organizations
  - Community Partnerships
  - Educational Partnerships
  - Education, Prevention, and Projects
- Funding for a voter outreach, citizenship, and community engagement program ($100K)
Community Facilities & Infrastructure ($250K)

- Funding for deferred maintenance needs at the Santa Ana Regional Transportation Center (SARTC). ($250K)

- Large investment in surplus for infrastructure ($6.0M)

- Capital Improvement Program ($55.3M)
Team Santa Ana ($240K)

- Addition of a Paralegal and Personnel Technician ($170k)

- Funding for Job Classification study ($70k)
Additional funding: CDBG Proposed Project List
$2 Million – Bristol Property Sale (Anticipated July 2016)

- **Joint Use: Segerstrom High School ($500k)**
  - Convert two baseball diamonds at Segerstrom High School to joint use by investing in sport field lights and other field improvements

- **Security Lights ($450k)**
  - Replace existing wooden poles with concrete poles
  - Upgrade lighting to energy efficient LED fixtures and lamps

- **Centennial Park Walkway/Sidewalk Improvements ($430k)**
  - Remove and replace sections of cracked walkway and asphalt

- **Skate Facility ($300k)**
  - Construct a 2nd skate park at CDBG eligible location

- **Thornton Parking Lot Renovation ($220k)**
  - Renovations to preserve park lot including: removing loose gravel, replacement of asphalt, striping of faded parking stall lines, ADA improvements

- **Birch Park Fence ($60k)**
  - Design fence along the north and west side of the park for night closure
  - Purchase of equipment for the establishment of a ‘movies in the park’ program

- **Santa Ana Senior Center (3rd St.) – HVAC ($40k)**
  - HVAC Upgrades
FY 16-17 Additional Departmental Requests - Not in Trial Budget

• Addition of a Deputy Clerk of the Council ($80k)
• Reallocate Sr. Deputy Clerk of the Council to Assistant Clerk ($30k)
• Modernization of Equipment & Furnishings ($50k)-City Attorney’s Office
FY 16-17 Additional Departmental Requests - Not in Trial Budget

• Police Dept: Mobile Digital Computers ($1.0M)
  – Allows for the utilization of new technology to improve security, communication, usability, and efficiency

• Streetlight Purchases ($500k)
  – Negotiating a purchase of approximately 11,400 streetlights for LED technology upgrades
  – Potential savings of $1 million/year in energy costs

• Additional Funding for Street Light Pole Replacement Contract ($40k)
FY 16-17
CAPITAL IMPROVEMENT PROGRAM
CITY OF SANTA ANA
FY 2016/ 2017
CAPITAL IMPROVEMENT PROGRAM

Maple Bike Trail Bulb-Out

Zoo – Ocelot Exhibit

Richland: Shelton to Cul-de-sac

Grand Avenue Improvements
16/17 CAPITAL IMPROVEMENT PROGRAM (CIP)
$55,259,512

- Street Improvements: $21,999,218 (34%)
- Traffic Improvements: $5,203,400 (9%)
- Utility / Drainage / Lighting: $18,659,030 (34%)
- City Facility Improvements: $9,397,864 (17%)
STREET IMPROVEMENTS
$21,999,218

Planning
3 – Projects
$517,130
- Pavement Management
- Project Development
- Right-of-Way Management

Roadway Improvements
8 – Projects
$20,282,088
- Alley Improvements
- Arterial Preventative Maintenance
- Local Preventative Maintenance
- Residential Street Repair
- Bristol St and Memory Ln Intersection Widening
- Bristol St Widening and Improvements from Civic Center to Washington
- Warner Avenue Improvements and Widening from Main to Oak

Sidewalk/Streetscape
2 – Projects
$1,200,000
- Omnibus Concrete
- Median Conversion Project
TRAFFIC IMPROVEMENTS
$5,203,400

Planning
3 – Projects
$150,000
- Bike Lane Project Development
- Traffic Management Plans
- Traffic Safety Project Development

Traffic Signal
4 – Projects
$1,246,000
- Fairview St Traffic Signal Synchronization
- Traffic Signal Hardware Upgrade
- Westminster Ave/17th St Corridor Traffic Signal Synchronization
- Euclid St and Hazard Ave Signal Modification
- Warner and Flower Intersection Improvement

Mobility/Safety
8 – Projects
$2,807,400
- Citywide Safe Routes to School
- Sepulveda Safe routes to School
- Civic Center Drive Bike Boulevard
- Edinger Ave, Santa Ana Blvd, and 5th St Protected Bike Lanes
- Crosswalk Upgrades - Phase II
- Flower Street Safety enhancements
- Lincoln Pedestrian Trail

OC Street Car
$1,000,000
- Continue partnership with OCTA
- Design Oversight

FISCAL YEAR 2016-17
CAPITAL IMPROVEMENT PROGRAM
PUBLIC UTILITY / DRAINAGE IMPROVEMENTS
$18,659,030

Sewer Improvements
2 – Projects
$2,129,500
- Citywide Sewer Main Improvements
- Warner Industrial Community Park Sewer Main Improvements

Water Improvements
4 – Projects
$3,549,530
- Water Main Improvements
  - 17th Street – Phase 2
  - Saint Gertrude & Grand
  - South Bristol
  - Warner Industrial Community Park

Facility / Drainage Improvement
4 – Projects
$2,680,000
- Segerstrom (San Lorenzo) Sewer Lift Station Reconstruction
- South Station Perimeter Wall
- Walnut Pump Station
- Civic Center Stormwater Lift Stations
- Well 29 Improvements
- Storm Drain Master Plan

Street Light Improvement
1 – Project
$10,300,000
- Purchase and Install LED Street Lights

FISCAL YEAR 2016-17
CAPITAL IMPROVEMENT PROGRAM
CITY FACILITIES $9,397,864

Recreation Improvements
5 – Projects
$2,018,000

- Center Street Urban Greening Project
- Dan Young Soccer Complex Field 2 Synthetic Turf
- Riverview / Cabrillo / Windsor Resurfacing
- Santiago Bike Trail / Bomo Koral Sidewalk & Walkway Renovation
- Santiago Park Playground Equipment

Park / Zoo Facilities
11 – Projects
$3,897,864

- Angel Park Restroom Renovation
- Civic Center Re-caulking Plaza’s and ADA Improvements
- Roosevelt/Walker Park Improvements
- Santa Ana Stadium Concrete Repair
- Security Cameras
  - Chepa’s Park
  - Memorial Park
  - Santa Anita Park
  - Madison Park
- Security Lighting at Various Parks
- Santiago Park Gas House
- Santa Ana Zoo Care & Quarantine Facility

Building Improvements
4 – Projects
$3,500,000

- Downtown Parking Structure Improvements:
  - 3rd/Birch
  - 3rd/Broadway
  - 5th/Spurgeon
  - 5th/Main

FISCAL YEAR 2016-17
CAPITAL IMPROVEMENT PROGRAM
FEATURED PROGRAMS

SMART $80,000,000

Fiber Optics $TBD

Street Light LED Conversion $10,000,000

ATP/HSIP $3,674,900

- Pavement Management
- SMSA
- Bus Stop Improvements
- Citywide Bicycle Master Plan
- Sidewalk/ADA
- Study the Implementation of a Citywide Fiber Optics Network
- Purchase Street Lights from SCE
- Finance Through Reduced Rate Savings
- Replace with LED Lower Energy Consumption and Cost
- More Responsive Maintenance

Active Transportation and Highway Safety Improvement Program Projects

SMSA Program $20,000,000

Efficiency / Technology $TBD
IMPROVING SERVICES
16/17

97 miles
Roadway Resurfacing

3 miles
Water/Sewer Pipeline

6 miles
Bike Lanes / Routes

7 locations
Signal Modification

$1 Million
Drought Tolerant Median Conversion
TOTAL CAPITAL IMPROVEMENT PROGRAM (CIP)
$228,059,660

Street Improvements $115,988,730
Traffic Improvements $31,561,300
Utility / Drainage / Lighting Improvements $56,045,377
City Facilities $24,464,253

51%
24%
14%
11%

FISCAL YEAR 2016-17 CAPITAL IMPROVEMENT PROGRAM
### CITY OF SANTA ANA - PUBLIC WORKS AGENCY
### CAPITAL IMPROVEMENT PROGRAM - EXECUTIVE SUMMARY SCHEDULE
### MONTH OF MARCH 2016

#### PLANNING

<table>
<thead>
<tr>
<th>Project Number</th>
<th>Project Name</th>
<th>Strategic Plan Alignment</th>
<th>Project Manager</th>
<th>Total Budget</th>
</tr>
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<tbody>
<tr>
<td>N/A</td>
<td>SR-55 Widening (I-405 to I-5) (OCTA Lead)</td>
<td>61.1</td>
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<td>17th Street Grade Separation (OCTA Lead)</td>
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<td>15-6847</td>
<td>Bridge Preventive Maintenance Inventory &amp; Workplan</td>
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<td>Traffic Signal Head Upgrades *</td>
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<td>Civic Center Bike Boulevard *</td>
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<td>Lincoln Pedestrian Path *</td>
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<td>Angel Park Restroom Renovation (surplus)</td>
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#### DESIGN

<table>
<thead>
<tr>
<th>Project Number</th>
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<th>Total Budget</th>
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</thead>
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<tr>
<td>N/A</td>
<td>I-5 HOV Central Corridor (OCTA Lead)</td>
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* Pending Grant
BUDGET SCHEDULE
AND
NEXT STEPS
THANK YOU!