CITY OF SANTA ANA
2016 – 2017
Annual Action Plan
Substantial Amendment
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6
INTRODUCTION

The City of Santa Ana’s federal Annual Action Plan details the funding strategy for the Community Development Block Grant (CDBG), Emergency Solutions Grant (ESG), and HOME Investment Partnerships programs each year. These Annual Action Plans implement our jurisdiction’s Five-Year Consolidated Plan and are developed through significant public input, analyses, and planning. This document is a Substantial Amendment to the City of Santa Ana’s Fiscal Year 2016-2017 Annual Action Plan, which was submitted to the U.S. Department of Housing and Urban Development (HUD) on May 15, 2016.

Title 24 Section 91.505 of the Code of Federal Regulations stipulates that participating jurisdictions shall amend their approved plans whenever they make one of the following decisions:

1. To make a change in its allocation priorities or a change in the method of distribution of funds;
2. To carry out an activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the action plan; or
3. To change the purpose, scope, location, or beneficiaries of an activity.

The Regulation further requires that jurisdictions identify in their citizen participation plans the criteria they will use for determining what constitutes a substantial amendment.

Consistent with these requirements, the Citizen Participation Plan adopted by the City of Santa Ana as a component of its 2015/16 – 2019/20 Consolidated Five Year Plan identifies three criteria that will require a substantial amendment:

1. Changes in the use of CDBG funds from one eligible activity to another;
2. An activity is undertaken that was not previously included in the Consolidated Plan or subsequent action plans.
3. More than 30 percent of the most recent annual federal grant allocation is reallocated to other eligible program activities within the fiscal year.

The proposed substantial amendment is a change in the use of CDBG funds from one eligible activity to another and meets one of these three criteria. Therefore, this qualifies as a substantial amendment.
**SUBSTANTIAL AMENDMENT**

The City is amending the 2016-2017 Action Plan to include an increase in CDBG prior year resources available and to allocate available funds for the development of the Roosevelt Walker Community Center and Park project.

- **AP-15 Expected Resources**
  On Page 10 in Exhibit 2, Updated 2016-2017 Action Plan, under CDBG Prior Year Resources: increase of $1,420,000 for a total of $1,420,000 in prior year resources and a total of $6,706,263 Expected Amount Available for Year 1.

- **AP-20 Annual Goals and Objectives**
  On Page 14 in Exhibit 2, Updated 2016-2017 Action Plan, under 5) Public Facilities/Infrastructure: increase in CDBG funding by $1,420,000 for a total of $2,967,159.

- **AP-38 Projects Summary**
  On Page 25 in Exhibit 2, Updated 2016-2017 Action Plan, under 11) CDBG16-Park Improvements Roosevelt Walker: increase in funding by $1,420,000 for a total of $2,109,261. The Roosevelt Walker Community Center and Park is a joint-use project with the Santa Ana Unified School District (SAUSD) that will build a new 10,000 sq. ft. community center, basketball courts, play equipment, exercise equipment, community garden, parking lot, security lighting, and landscaping.

The total estimated cost of the substantial amendment is $1,420,000.

**CITIZEN PARTICIPATION**

In accordance with 24 CFR 91.105(c)(3) for local governments, the substantial amendment Public Notice for the use of CDBG funds was released for citizen review and comments on November 5, 2016. During the 30-day public comment period from November 6, 2016 through December 6, 2016 the Draft Substantial Amendment was made available during regular business hours between 8:00 a.m. and 5:00 p.m., Monday through Friday in the offices of the Community Development Agency, 20 Civic Center Plaza, 6th Floor; Office of the Clerk of the Council, 20 Civic Center Plaza, Room 809; the Santa Ana Public Library, 26 Civic Center Plaza, Santa Ana, California; and on the city’s website. The Community Redevelopment and Housing Commission of the City of Santa Ana will hold a public hearing on November 23, 2016, at 4:30 p.m. at the City Council Chambers, 22 Civic Center Plaza, Santa Ana, CA 92701. Following the 30-day public comment period and public hearing, the City Council of Santa Ana will hear and vote on the Substantial Amendment to the 2016-2017 Action Plan on December 6, 2016. A copy of the public hearing notice is presented in Exhibit 1. A summary of citizen comments received at the public hearing and responses to these citizen comments are presented in Exhibit 1.
EXHIBIT 1
SUMMARY OF PUBLIC COMMENTS
&
PROOF OF PUBLICATION 30-DAY COMMENT PERIOD AND PUBLIC HEARING
SUMMARY OF PUBLIC COMMENTS

SUMMARY OF PUBLIC COMMENTS RECEIVED DURING 30-DAY COMMENT PERIOD AND STAFF RESPONSE:

TBD

11/23/2016 COMMUNITY REDEVELOPMENT & HOUSING COMMISSION PUBLIC HEARING:

TBD

PROOF OF PUBLICATION 30-DAY COMMENT PERIOD AND PUBLIC HEARING

TBD-Draft:

NOTICE OF PUBLIC HEARING TO BE HELD BY THE
CITY OF SANTA ANA COMMUNITY REDEVELOPMENT & HOUSING COMMISSION
ON THE PROPOSED SUBSTANTIAL AMENDMENT
TO THE 2016-2017 ACTION PLAN
AND COMMENCEMENT OF THE 30-DAY PUBLIC COMMENT PERIOD.

NOTICE IS HEREBY GIVEN that the Community Redevelopment and Housing Commission of the City of Santa Ana will conduct a public hearing on November 23, 2016 at 4:30 p.m. at the City Council Chambers, 22 Civic Center Plaza, Santa Ana, CA 92701 to receive comments on the Substantial Amendment to the City’s 2016-2017 Annual Action Plan. On December 6, 2016 on or about 6:00 p.m. at the City Council Chambers, the City Council will take action on requests to authorize submittal of the Substantial Amendment to the U.S. Department of Housing and Urban Development (HUD). The proposed amendment will reprogram Community Development Block Grant funds currently available to the City.

The draft Substantial Amendment will be available for public review during normal business hours from November 6, 2016 to December 6, 2016 in the following locations: Community Development Agency, 20 Civic Center Plaza, 6th Floor; Office of the Clerk of the Council, 20 Civic Center Plaza, Room 809; and the Main Public Library, 26 Civic Center Plaza, Santa Ana, California. The draft will also be available on the City’s website at: http://www.santa-ana.org/cda.

Written comments to the draft Substantial Amendment may be filed with the Community Development Agency any time before 5:30 p.m. on December 6, 2016 at the locations mentioned above or by email at consolidatedplan@santa-ana.org. Persons interested in providing verbal comments to the draft Substantial Amendment are notified to appear at the Community Redevelopment and Housing Commission public hearing on November 23, 2016 at 4:30 p.m. in the City Council Chambers located at 22 Civic Center Plaza, Santa Ana, California 92701.

Publish: November 5, 2016
EXHIBIT 2
UPDATED 2016-2017 ANNUAL ACTION PLAN
CITY OF SANTA ANA
JULY 1, 2016 – JUNE 30, 2017

ANNUAL ACTION PLAN
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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Annual Plan outlines housing, community and economic development needs, priorities, strategies, and projects that will be undertaken by the City of Santa Ana with the funds that the City receives from the U.S. Department of Housing and Urban Development (HUD). As an entitlement jurisdiction, the City receives an annual share of federal Community Development Block Grant (CDBG,) Emergency Solutions (ESG)and HOME funds. In order to receive these entitlements, the City must submit this Consolidated Plan and First Year Annual Action Plan to HUD. The funds are intended to provide lower and moderate-income households with viable communities, including decent housing, a suitable living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, development activities, public services, economic development, planning, and program administration.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Santa Ana’s mission statement asserts, "To deliver efficient public services in partnership with our community which ensures public safety, a prosperous economic environment, opportunities for our youth, and a high quality of life for residents."
The Strategic Plan proposes strategies and programs that focus on Santa Ana’s mission statement, address community priority needs, and also HUD’s statutory goals. Related to this mission statement are a series of City goals including:

-Community Safety-Youth, Education, Recreation -Economic Development -City Financial Stability - Community Health, Livability, Engagement and Sustainability -Community Facilities and Infrastructure - Team Santa Ana

The City’s needs are numerous and varied. The principal needs are: 1) affordable housing through production, rehabilitation/repair, and financial assistance, public improvements to improve neighborhoods, 2) housing for persons with special needs, 3) assistance for the homeless, including housing, services and homelessness prevention, 4) community and public services especially for youth, the elderly, the homeless, and persons with disabilities, 5) economic development and anti-poverty programs focused primarily upon job training and career preparation, 6) public facility and infrastructure improvements centered upon accessibility improvements, and 7) code enforcement.

The strategies described herein establish Santa Ana’s priorities for assisting low and moderate income persons and neighborhoods with funds made available through the Consolidated Plan. The affordable housing and development needs of a community will always outweigh the resources available to address those needs. Therefore, it is necessary to prioritize the use of available funds to the highest and best use to meet the most pressing needs for housing, the homeless, the special needs population, and community development.

The priorities were selected based on a review and analysis of the information summarized in the Consolidated Plan/Annual Plan, namely the community participation process, consultations, the needs assessment, and housing market analysis. Strategies are designed to meet the priority needs and based on past performance and best practices of communities with similar programs. Only projects that clearly demonstrate the capacity to serve one of the priorities below will receive funding through the Consolidated Plan/Annual Plan.

The majority of CDBG activities are selected based on eligibility and need. All organizations requesting CDBG funds for public services, through a formal application process, demonstrate that the activity benefits low- and moderate- income (LMI) persons. The organizations requesting ESG funds, did so through a formal application process. They were each judged on their ability to carry out the requirements of the program which provides assistance to homeless individuals and families.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.
The City has used the resources provided by the HUD programs to the best of its ability and has been successful in meeting the HUD objectives over the preceding years.

Components of the City’s system of care carried out during the report period included outreach, supportive services, homelessness prevention, emergency shelter, transitional housing, and access to permanent housing. The needs of the homeless with special needs (e.g., victims of domestic violence, persons living with HIV/AIDS, and the chronic homeless) were also addressed by Santa Ana’s CoC system. These program efforts helped address priority needs identified in the City’s Annual Plan.

Various programs, including the Work Force Investment Act resources, addressed employment training and job placement needs. The State designated Enterprise Zone and the City’s business attraction and retention programs also provided economic opportunities to residents.

In order to meet the changing needs of the community, the City supported public services and public facility improvement projects. Public services for lower income youth and seniors help stabilize households by providing services such as recreation and nutrition programs.

The City administration recognizes that the City's annual entitlement and formula allocations are not sufficient to meet all of its needs, and seeks to maximize coordination and cooperation among agencies and organizations to make best use of these limited funds. Also, the City recognizes that leveraging resources is critical to achieving the City's goals. The City continues to cultivate funding partners who can match the City's investment of CDBG, HOME, and ESG funds. The need remains great, and additional funds are sought to ensure that more affordable housing and important programs and activities are available for those in need.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City employs diverse methods to encourage on-going participation from residents, community service providers, and existing and potential community development partners. The participation process for the Annual Plan included public notices; a 30-day public comment period; public hearings; and consultation with non-profits, City staff, and housing providers.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The City was prepared to receive all comments that were offered and integrated them in the plan. There was one public comment in support of the plan.
6. **Summary of comments or views not accepted and the reasons for not accepting them**

All public comments were accepted.

7. **Summary**

The City was prepared to receive all comments that were offered and integrated them in the plan. There was one public comment in support of the plan.
**PR-05 Lead & Responsible Agencies – 91.200(b)**

1. **Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<table>
<thead>
<tr>
<th>Agency Role</th>
<th>Name</th>
<th>Department/Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG Administrator</td>
<td>SANTA ANA</td>
<td>Community Development Agency</td>
</tr>
<tr>
<td>HOME Administrator</td>
<td>SANTA ANA</td>
<td>Community Development Agency</td>
</tr>
<tr>
<td>ESG Administrator</td>
<td>SANTA ANA</td>
<td>Community Development Agency</td>
</tr>
</tbody>
</table>

*Table 1 – Responsible Agencies*

**Consolidated Plan Public Contact Information**

Judson Brown  
Community Development Agency  
20 Civic Center Plaza  
Santa Ana, CA 92702  
(714) 647-5360  
jbrown@santa-ana.org
AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

The United States Department of Housing and Urban Development (HUD) now requires that entitlement jurisdictions submit the Annual Action Plan and the Five Year Consolidated Plan on the Integrated Disbursement and Information System (IDIS). The IDIS template contains relevant section and a series of questions to complete the plan. The City of Santa Ana's 2016-2017 Annual Action Plan was developed using the Integrated Disbursement Information System (IDIS) template and in accordance with statutory requirements and federal regulations.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The City of Santa Ana has it's own Housing Authority and works collaboratively on programs. Housing Authority and City staff meet quarterly with County Mental Health workers in addition to sitting on various committees together. ESG funds will continue to fund mental health outreach team services in FY 2016-2017.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

2-1-1 Orange County is the County's lead with the Coordinated Entry System as well as the Homeless Management Information System (HMIS.) Each sub-recipient that the City funds is required to partipate in this system. With the establishment of the Coordinated Entry System, organizations are to lower their barrier to entry and adopt a housing first model. Street Outreach Teams are administering the VI-SPDAT to help in the placement of housing. City staff are active on several of the Continuum's sub-committee's.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

City staff participated on several sub-committees with the Continuum and coordinate on a variety of issues. Additionally, the Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) have developed the Orange County ESG Collaborative as a
unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities
### Table 2 – Agencies, groups, organizations who participated

<table>
<thead>
<tr>
<th>1</th>
<th>Agency/Group/Organization</th>
<th>HOUSING AUTHORITY OF THE CITY OF SANTA ANA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency/Group/Organization Type</td>
<td>PHA</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other government - County</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other government - Local</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Civic Leaders</td>
<td></td>
</tr>
<tr>
<td>What section of the Plan was addressed by Consultation?</td>
<td>Homeless Needs - Chronically homeless</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Homeless Needs - Families with children</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Homelessness Needs - Veterans</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Homelessness Needs - Unaccompanied youth</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Homelessness Strategy</td>
<td></td>
</tr>
<tr>
<td>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</td>
<td>The Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) have developed the Orange County ESG Collaborative as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.</td>
<td></td>
</tr>
</tbody>
</table>

**Identify any Agency Types not consulted and provide rationale for not consulting**

All relevant agencies were consulted.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

<table>
<thead>
<tr>
<th>Name of Plan</th>
<th>Lead Organization</th>
<th>How do the goals of your Strategic Plan overlap with the goals of each plan?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Continuum of Care</td>
<td>2-1-1 Orange County</td>
<td>The City of Santa Ana works closely with the Continuum of Care to ensure that activities in the City are consistent with the goals of the Continuum.</td>
</tr>
</tbody>
</table>

**Table 3 – Other local / regional / federal planning efforts**

Annual Action Plan 2016

OMB Control No: 2506-0117 (exp. 07/31/2015)
AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting


Annual Action Plan (AAP) was available for a 30 day public comment period from March 19 until April 19, 2016.

The AAP was made available online and in hard copy at the City of Santa Ana.

A public hearing was held on March 23, 2016 to discuss the Plan. The public hearing was announced the in local newspapers on March 9, 2016. Comments received during the public hearing and public comment period were shared with all stakeholders and incorporated into the Annual Action Plan.

Citizen Participation Outreach

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Mode of Outreach</th>
<th>Target of Outreach</th>
<th>Summary of response/attendance</th>
<th>Summary of comments received</th>
<th>Summary of comments not accepted and reasons</th>
<th>URL (If applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Public Hearing</td>
<td>Citywide</td>
<td>There was one public comment received during the public hearing.</td>
<td>Kathleen Davis Bowman, representing WISEPlace, spoke in support of the Annual Action Plan.</td>
<td>NA</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Newspaper Ad</td>
<td>Citywide</td>
<td>No response received.</td>
<td>No public comments received.</td>
<td>NA</td>
<td></td>
</tr>
</tbody>
</table>

Table 4 – Citizen Participation Outreach
Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

Santa Ana, like many jurisdictions, is faced with the challenging task of meeting increasing needs with fluctuating and/or decreasing federal and state resources.

The figures shown in the table below reflect HUD's current allocations. The figure for “Expected Amount Available Remainder of ConPlan” anticipates level funding using the 2016 allocation amounts and projecting those amounts over the four remaining years covered by the Consolidated Plan.

If there are further funding cuts to CDBG over the coming years, the City will adjust accordingly and craft Annual Action Plans reflective of funding realities.

Priority Table

<table>
<thead>
<tr>
<th>Program</th>
<th>Source of Funds</th>
<th>Uses of Funds</th>
<th>Annual Allocation: $</th>
<th>Program Income: $</th>
<th>Prior Year Resources: $</th>
<th>Total: $</th>
<th>Expected Amount Available Remainder of ConPlan $</th>
<th>Narrative Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG</td>
<td>public - federal</td>
<td>Acquisition Admin and Planning</td>
<td>5,286,263</td>
<td>0</td>
<td>1,420,000</td>
<td>6,706,263</td>
<td>5,286,263</td>
<td>16,691,237</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Economic Development Housing</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>20% Admin and Fair Housing Services,15% Public Services</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Public Improvements Public Services</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Annual Action Plan
2016
<table>
<thead>
<tr>
<th>Program</th>
<th>Source of Funds</th>
<th>Uses of Funds</th>
<th>Expected Amount Available Year 1</th>
<th>Expected Amount Available Reminder of ConPlan</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Annual Allocation: $</td>
<td>Program Income: $</td>
</tr>
<tr>
<td>HOME</td>
<td>public - federal</td>
<td>Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA</td>
<td>1,144,173</td>
<td>200,000</td>
</tr>
<tr>
<td>ESG</td>
<td>public - federal</td>
<td>Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing</td>
<td>489,202</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 5 - Expected Resources – Priority Table

Annual Action Plan 2016

11
Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

For the ESG program, matching requirements are passed to sub-recipients who demonstrate matching dollars prior to funding as well as at each quarterly invoice. ESG funds are leveraged by working the the OC Collaborative and considering other funding opportunities for various programs for the homeless population. This will include leveraging with both Housing Authority voucher funding and HOME funds in FY 16-17.

The City administration recognizes that the City's annual entitlement and formula allocations are not sufficient to meet all of its needs, and that leveraging resources is critical to achieving the City's goals. The City continues to cultivate funding partners who can match the City's investment of CDBG, HOME, and ESG funds. The need remains great, and additional funds are sought to ensure that more affordable housing and important programs and activities are available for those in need. To that end the City seeks funds from the State and grants from other entities, both public and private.

Matching requirements have been satisfied with other eligible financial resources and/or in-kind services, and the City will continue to seek this type of matching as well as financial matches.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City’s Housing Authority currently owns 14 parcels previously held by the former Redevelopment Agency. Some of these parcels have been identified to address the needs within this five year consolidated plan. Those identified parcels will be developed by the City and through a competitive proposal process for interested parties.
## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

<table>
<thead>
<tr>
<th>Sort Order</th>
<th>Goal Name</th>
<th>Start Year</th>
<th>End Year</th>
<th>Category</th>
<th>Geographic Area</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Goal Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Affordable Housing Opportunities</td>
<td>2015</td>
<td>2019</td>
<td>Affordable Housing</td>
<td>Citywide</td>
<td>Housing Opportunities</td>
<td>HOME: $2,709,605</td>
<td>Rental units constructed: 11 Household Housing Unit Homeowner Housing Rehabilitated: 5 Household Housing Unit</td>
</tr>
<tr>
<td>Sort Order</td>
<td>Goal Name</td>
<td>Start Year</td>
<td>End Year</td>
<td>Category</td>
<td>Geographic Area</td>
<td>Needs Addressed</td>
<td>Funding</td>
<td>Goal Outcome Indicator</td>
</tr>
<tr>
<td>------------</td>
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<td>-----------------------------------------------</td>
<td>-----------------</td>
<td>----------------------------------------</td>
<td>--------------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>3</td>
<td>Public Service Programs</td>
<td>2015</td>
<td>2019</td>
<td>Homeless Non-Homeless Special Needs Non-Housing Community Development</td>
<td>Citywide</td>
<td>Public Service Programs</td>
<td>CDBG: $792,939</td>
<td>Public service activities other than Low/Moderate Income Housing Benefit: 8000 Persons Assisted</td>
</tr>
<tr>
<td>4</td>
<td>Economic Development Activities</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Citywide</td>
<td>Economic Development</td>
<td>CDBG: $72,955</td>
<td>Businesses assisted: 12 Businesses Assisted</td>
</tr>
<tr>
<td>5</td>
<td>Public Facilities/Infrastructures</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Citywide</td>
<td>Public Facilities/Infrastructure</td>
<td>CDBG: $1,547,159</td>
<td>Other: 2 Other</td>
</tr>
<tr>
<td>6</td>
<td>Support Fair Housing</td>
<td>2015</td>
<td>2019</td>
<td>Non-Housing Community Development</td>
<td>Citywide</td>
<td>Fair Housing</td>
<td>CDBG: $64,166</td>
<td>Other: 1 Other</td>
</tr>
<tr>
<td>7</td>
<td>Code Enforcement</td>
<td>2015</td>
<td>2019</td>
<td>Affordable Housing Low-and Moderate-Income area</td>
<td>Code Enforcement</td>
<td>Code Enforcement</td>
<td>CDBG: $815,958</td>
<td>Housing Code Enforcement/Foreclosed Property Care: 4500 Household Housing Unit</td>
</tr>
<tr>
<td>8</td>
<td>Administration</td>
<td>2015</td>
<td>2019</td>
<td>Administration</td>
<td>Citywide</td>
<td>Public Service Programs Code Enforcement Housing Opportunities Homeless Services Economic Development Fair Housing Public Facilities/Infrastructure</td>
<td>HOME: $113,905 ESG: $36,690.15</td>
<td>Other: 1 Other</td>
</tr>
</tbody>
</table>

Table 6 – Goals Summary

Annual Action Plan
2016

OMB Control No: 2506-0117 (exp. 07/31/2015)
## Goal Descriptions

<table>
<thead>
<tr>
<th></th>
<th>Goal Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Affordable Housing Opportunities</td>
</tr>
<tr>
<td>2</td>
<td>Homeless Activities</td>
</tr>
<tr>
<td>3</td>
<td>Public Service Programs</td>
</tr>
<tr>
<td>4</td>
<td>Economic Development Activities</td>
</tr>
<tr>
<td>5</td>
<td>Public Facilities/Infrastructures</td>
</tr>
<tr>
<td>6</td>
<td>Support Fair Housing</td>
</tr>
<tr>
<td>7</td>
<td>Code Enforcement</td>
</tr>
<tr>
<td>8</td>
<td>Administration</td>
</tr>
</tbody>
</table>

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

See annual action plan data.
AP-35 Projects – 91.220(d)

Introduction

The development of the Annual Action Plan involved consultation with those agencies involved in delivering housing and housing services within Santa Ana, as noted in section PR-10. In addition there were meetings conducted with appropriate housing and social service agencies regarding the housing needs of children, elderly persons, persons with disabilities, homeless persons.

The 2016 Annual Plan sets forth a description of activities for the use of funds that will become available during the coming Federal fiscal year, determines goals for individuals and households to be served, and describes the implementation plan and geographic location of the activities to be undertaken.

<table>
<thead>
<tr>
<th>#</th>
<th>Project Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>HOME16 - Admin</td>
</tr>
<tr>
<td>2</td>
<td>HOME16 - Single Family Rehab</td>
</tr>
<tr>
<td>3</td>
<td>HOME16 - CHDO</td>
</tr>
<tr>
<td>4</td>
<td>HOME16 - Affordable Rental Housing</td>
</tr>
<tr>
<td>5</td>
<td>ESG16 Santa Ana</td>
</tr>
<tr>
<td>6</td>
<td>CDBG16-ADMINISTRATION</td>
</tr>
<tr>
<td>7</td>
<td>CDBG16-FAIR HOUSING</td>
</tr>
<tr>
<td>8</td>
<td>CDBG16-CODE ENFORCEMENT</td>
</tr>
<tr>
<td>9</td>
<td>CDBG16-ECONOMIC DEVELOPMENT</td>
</tr>
<tr>
<td>10</td>
<td>CDBG16-PUBLIC SERVICE PROGRAMS</td>
</tr>
<tr>
<td>11</td>
<td>CDBG16-PARK IMPROVEMENTS ROOSEVELT WALKER</td>
</tr>
<tr>
<td>12</td>
<td>CDBG16-STREET IMPROVEMENTS</td>
</tr>
<tr>
<td>13</td>
<td>CDBG16-NEIGHBORHOOD SPONSORED IMPROVEMENTS</td>
</tr>
<tr>
<td>14</td>
<td>CDBG16-SINGLE FAMILY REHAB</td>
</tr>
<tr>
<td>15</td>
<td>CDBG16-MULTI FAMILY REHAB</td>
</tr>
<tr>
<td>16</td>
<td>CDBG16-HOMEBUYER DOWN PAYMENT ASSISTANCE</td>
</tr>
<tr>
<td>17</td>
<td>CDBG15-PARK IMP 6TH AND LACY ST OPEN SPACE</td>
</tr>
</tbody>
</table>

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

These projects are deemed to be of the highest priority and meet the greatest need. The chief obstacle to meeting these needs is a lack of resources to provide a greater level of assistance.
### Projects

#### AP-38 Projects Summary

**Project Summary Information**

<table>
<thead>
<tr>
<th></th>
<th>Project Name</th>
<th>Target Area</th>
<th>Goals Supported</th>
<th>Needs Addressed</th>
<th>Funding</th>
<th>Description</th>
<th>Target Date</th>
<th>Estimate the number and type of families that will benefit from the proposed activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>HOME16 - Admin</td>
<td>Citywide</td>
<td>Affordable Housing Opportunities</td>
<td>Housing Opportunities</td>
<td>HOME: $114,417</td>
<td>HOME funds utilized to cover administrative costs for the HOME program.</td>
<td>6/30/2021</td>
<td>Low and very-low income families in the City of Santa Ana.</td>
</tr>
<tr>
<td>2</td>
<td>HOME16 - Single Family Rehab</td>
<td>Citywide</td>
<td>Affordable Housing Opportunities</td>
<td>Housing Opportunities</td>
<td>HOME16 - Single Family Rehab</td>
<td>Low and very-low income families in the City of Santa Ana.</td>
<td>6/30/2021</td>
<td>Low and very-low income families in the City of Santa Ana.</td>
</tr>
<tr>
<td>Funding</td>
<td>HOME: $200,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Description</td>
<td>HOME funds will be used for mobile home and single family rehab projects for qualified households within the City of Santa Ana.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2021</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>Five (5) Low and Very-Low Income Families</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Planned Activities</td>
<td>HOME Funds will be used to finance the rehabilitation of owner-occupied single family homes and mobile homes for residential purposes. The program will rehabilitation properties to address code, health and safety issue in accordance with the HOME program.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Project Name</th>
<th>HOME16 - CHDO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Affordable Housing Opportunities</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing Opportunities</td>
</tr>
<tr>
<td>Funding</td>
<td>HOME: $171,626</td>
</tr>
<tr>
<td>Description</td>
<td>Funds will be used for CHDO-qualified projects within the City of Santa Ana.</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2021</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>To Be Determined</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>To Be Determined</td>
</tr>
<tr>
<td>-------------------</td>
<td>------------------</td>
</tr>
<tr>
<td><strong>4</strong> Project Name</td>
<td>HOME16 - Affordable Rental Housing</td>
</tr>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Affordable Housing Opportunities</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing Opportunities</td>
</tr>
<tr>
<td>Funding</td>
<td>HOME: $2,342,580</td>
</tr>
<tr>
<td>Description</td>
<td>HOME funds will be used for eligible activities related to new construction or acquisition and rehabilitation of affordable rental housing in the City of Santa Ana.</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2021</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>Eleven (11) HOME units within the Project. In total, the project will benefit fifty-seven (57) low-income families.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>The planned project is the Santa Ana Arts Collective and other rental housing projects within the City of Santa Ana.</td>
</tr>
<tr>
<td><strong>5</strong> Project Name</td>
<td>ESG16 Santa Ana</td>
</tr>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Homeless Activities</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing Opportunities, Homeless Services</td>
</tr>
<tr>
<td>Funding</td>
<td>ESG: $489,202</td>
</tr>
<tr>
<td>Description</td>
<td>Emergency Solutions Grant program activities are used to prevent homelessness and to enable currently homeless individuals and families to move forward, locate housing and live independently.</td>
</tr>
<tr>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2017</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>Over 2700 individuals will be provided services in fiscal year 2016-2017.</td>
</tr>
</tbody>
</table>
| Location Description | 1795 unduplicated individuals will be assisted through street outreach efforts.  
700 individuals will be assisted with shelter.  
207 persons will be assisted with homeless prevention activities.  
30 households will be assisted with rapid rehousing services |
| Planned Activities | Street Outreach, Homeless Prevention, Rapid Rehousing, Shelter and data for the homeless population in Santa Ana. |

6

<table>
<thead>
<tr>
<th>Project Name</th>
<th>CDBG16-ADMINISTRATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Administration</td>
</tr>
</tbody>
</table>
| Needs Addressed | Housing Opportunities  
Homeless Services  
Public Service Programs  
Public Facilities/Infrastructure  
Economic Development  
Code Enforcement  
Fair Housing |

Annual Action Plan 2016

OMB Control No: 2506-0117 (exp. 07/31/2015)
<table>
<thead>
<tr>
<th><strong>Funding</strong></th>
<th>CDBG: $993,086</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Description</strong></td>
<td>The program will provide for the overall administration of the CDBG Program, to include: preparation and submission of required contracts with HUD, submission of all reporting requirements, provision of individual project oversight, monitoring of all project implementation and ongoing completion, and fiscal management and oversight.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>Low- and moderate-income families.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Citywide.</td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>Grant Administration</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Project Name</strong></th>
<th>CDBG16-FAIR HOUSING</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Area</strong></td>
<td>Citywide</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Support Fair Housing</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Fair Housing</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $64,166</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>The program will provide fair housing enforcement, community education, landlord /tenant counseling/mediation services and city administrative support for the residents of the City of Santa Ana.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>900 low- and moderate-income families.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide.</td>
</tr>
<tr>
<td>----------------------</td>
<td>-----------</td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>Fair Housing Council of OC – fair housing enforcement, community education, landlord/tenant counseling/mediation services and city administrative support</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Project Name</strong></th>
<th>CDBG16-CODE ENFORCEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Area</strong></td>
<td>Low-and Moderate-Income area</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Code Enforcement</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Code Enforcement</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $815,958</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Preserve single family and multifamily housing stock.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>4500 low- and moderate income families.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Location Description</th>
<th>Low- and moderate-income areas.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Planned Activities</strong></td>
<td>Community Preservation - improve neighborhoods through personalized neighborhood contact, education of codes and standards, responsive and dedicated personnel, fair enforcement of laws and innovative processes. The primary purpose of this enforcement is to address property maintenance and life safety violations which contribute to the visual blight and general decline of an area.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Project Name</strong></th>
<th>CDBG16-ECONOMIC DEVELOPMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Target Area</strong></td>
<td>Citywide</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Economic Development Activities</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Economic Development</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $72,955</td>
</tr>
<tr>
<td>-------------------</td>
<td>---------------------------------------------------</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Provide economic development assistance to businesses.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>12+ low- and moderate income families.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Citywide.</td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>Provide technical support and microenterprise grants.</td>
</tr>
</tbody>
</table>

**Project Name**: CDBG16-PUBLIC SERVICE PROGRAMS

**Target Area**: Citywide

**Goals Supported**: Public Service Programs

**Needs Addressed**: Public Service Programs

**Funding**

<table>
<thead>
<tr>
<th><strong>Funding</strong></th>
<th>CDBG: $792,939</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Description</strong></td>
<td>Provide programs for the elderly, youth, persons with disabilities, and low income individuals.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>6,898 low- and moderate-income individuals.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Citywide.</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>Senior Services</td>
</tr>
<tr>
<td>--------------------</td>
<td>----------------</td>
</tr>
<tr>
<td></td>
<td>• City of Santa Ana Parks, Recreation and Community Services Agency Community SeniorServ - senior center congregate lunch and home delivered meals</td>
</tr>
<tr>
<td></td>
<td>• Council on Aging Orange County - healthcare enrollment services</td>
</tr>
<tr>
<td>Youth and Family Services</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• City of Santa Ana Police Department Police Athletic and Activity League - education and recreational activities for youth</td>
</tr>
<tr>
<td></td>
<td>• City of Santa Ana Parks, Recreation and Community Services Agency After School Tutoring - tutoring activities for youth</td>
</tr>
<tr>
<td></td>
<td>• Boys &amp; Girls Club of Santa Ana – enrichment, educational summer program provides summer</td>
</tr>
<tr>
<td></td>
<td>• Orange County Children’s Therapeutic Arts Center (OCCTAC) – music program for youth</td>
</tr>
<tr>
<td></td>
<td>• KidWorks Community Development Corporation – mental health, educational activities, for youth and family workshops</td>
</tr>
<tr>
<td></td>
<td>• America On Track - mentoring and educational services for children of prisoners and family workshops</td>
</tr>
<tr>
<td></td>
<td>• The Cambodian Family - academics, healthy education, leadership development, and career exploration services for youth and parent workshops</td>
</tr>
<tr>
<td></td>
<td>• Human Options - psycho-educational programs for youth and parents</td>
</tr>
</tbody>
</table>

| Homeless Services | |
| WISEPlace - shelter, food, case management, employment assistance and medical referrals for single women |

| Low Income Services | |
| Public Law Center – housing legal assistance and education |

<p>| Project Name | CDBG16-PARK IMPROVEMENTS ROOSEVELT WALKER |
| Target Area | Low-and Moderate-Income area |
| Goals Supported | Public Facilities/Infrastructures |</p>
<table>
<thead>
<tr>
<th>Needs Addressed</th>
<th>Public Facilities/Infrastructure</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $689,261 $2,109,261</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Joint use project with the Santa Ana Unified School District (SAUSD) will include a 10,000 sq ft community center, basketball courts, play equipment, exercise equipment, community garden, parking lot, security lighting, and landscaping.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2019</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>3,986 families 80% low- and moderate-income.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>SW corner of Chestnut and Standard Avenue.</td>
</tr>
<tr>
<td><strong>Planned Activities</strong></td>
<td>Construction of a community center.</td>
</tr>
<tr>
<td><strong>Project Name</strong></td>
<td>CDBG16-STREET IMPROVEMENTS</td>
</tr>
<tr>
<td><strong>Target Area</strong></td>
<td>Low-and Moderate-Income area</td>
</tr>
<tr>
<td><strong>Goals Supported</strong></td>
<td>Public Facilities/Infrastructures</td>
</tr>
<tr>
<td><strong>Needs Addressed</strong></td>
<td>Public Facilities/Infrastructure</td>
</tr>
<tr>
<td><strong>Funding</strong></td>
<td>CDBG: $749,261</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Residential street improvements will be undertaken in CDBG eligible areas. The work will include removal and replacement of existing streets with new asphalt or concrete panels. Project will also include some construction of curbs, gutters, sidewalks and driveways.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2017</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>491 families 53% low- and moderate-income.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Low- and moderate-income areas including Madison Park Neighborhood.</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>Residential street improvements in Madison Park Neighborhood.</td>
</tr>
<tr>
<td>Project Name</td>
<td>CDBG16-NEIGHBORHOOD SPONSORED IMPROVEMENTS</td>
</tr>
<tr>
<td>Target Area</td>
<td>Low-and Moderate-Income area</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Public Facilities/Infrastructures</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Public Facilities/Infrastructure</td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG: $48,637</td>
</tr>
<tr>
<td>Description</td>
<td>Street light upgrades and bullet shield light protectors to enhance the safety and accessibility of public right of way locations in CDBG eligible areas.</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2017</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>1,254 families 84% low- and moderate-income.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Low- and moderate-income areas including Santa Anita Neighborhood.</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>Lighting upgrades will be undertaken in CDBG eligible areas to enhance the safety and accessibility of public right of way. Upgrades will include brighter lighting and bullet shield light protectors.</td>
</tr>
<tr>
<td>Project Name</td>
<td>CDBG16-SINGLE FAMILY REHAB</td>
</tr>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Affordable Housing Opportunities</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing Opportunities</td>
</tr>
<tr>
<td>----------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG: $500,000</td>
</tr>
<tr>
<td>Description</td>
<td>Owner occupied housing rehabilitation.</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2017</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>5 low- and moderate-income families.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide.</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>CDBG funds will be used to finance the rehabilitation of privately owned single family homes for residential purposes, in accordance with Section 570.202 – Eligible Rehabilitation and Preservation Activities. The program will rehabilitate properties to address code violations, potential code violations, or unsafe living conditions.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Project Name</th>
<th>CDBG16-MULTI FAMILY REHAB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Target Area</td>
<td>Citywide</td>
</tr>
<tr>
<td>Goals Supported</td>
<td>Affordable Housing Opportunities</td>
</tr>
<tr>
<td>Needs Addressed</td>
<td>Housing Opportunities</td>
</tr>
<tr>
<td>Funding</td>
<td>CDBG: $300,000</td>
</tr>
<tr>
<td>Description</td>
<td>Renter occupied housing rehabilitation.</td>
</tr>
<tr>
<td>Target Date</td>
<td>6/30/2017</td>
</tr>
<tr>
<td>Estimate the number and type of families that will benefit from the proposed activities</td>
<td>8 low- and moderate-income families.</td>
</tr>
<tr>
<td>Location Description</td>
<td>Citywide.</td>
</tr>
<tr>
<td>---------------------</td>
<td>-----------</td>
</tr>
<tr>
<td>Planned Activities</td>
<td>CDBG funds will be used to finance the rehabilitation of privately owned multi-family rental properties for residential purposes in accordance with Section 570.202 – Eligible Rehabilitation and Preservation Activities. Funds will be used to rehabilitate properties to address code violations, potential code violations, or unsafe living conditions.</td>
</tr>
</tbody>
</table>

**Project Name**  
CDBG16-HOMEBUYER DOWN PAYMENT ASSISTANCE

**Target Area**  
Citywide

**Goals Supported**  
Affordable Housing Opportunities

**Needs Addressed**  
Housing Opportunities

**Funding**  
CDBG: $200,000

**Description**  
Provide first time homebuyer down payment assistance.

**Target Date**  
6/30/2017

**Estimate the number and type of families that will benefit from the proposed activities**  
4 low- and moderate income families.

<table>
<thead>
<tr>
<th>Location Description</th>
<th>Citywide.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Planned Activities</td>
<td>CDBG funds will be used to provide down payment assistance loans for qualified first time homebuyers to purchase qualified homes within Santa Ana.</td>
</tr>
</tbody>
</table>

**Project Name**  
CDBG15-PARK IMP 6TH AND LACY ST OPEN SPACE

**Target Area**  
Low-and Moderate-Income area

**Goals Supported**  
Public Facilities/Infrastructures

**Needs Addressed**  
Public Facilities/Infrastructure
<table>
<thead>
<tr>
<th><strong>Funding</strong></th>
<th>CDBG: $960,000</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Description</strong></td>
<td>New neighborhood park.</td>
</tr>
<tr>
<td><strong>Target Date</strong></td>
<td>6/30/2019</td>
</tr>
<tr>
<td><strong>Estimate the number and type of families that will benefit from the proposed activities</strong></td>
<td>1,422 families 91% low- and moderate-income.</td>
</tr>
<tr>
<td><strong>Location Description</strong></td>
<td>Southwest corner of Lacy and 6th Street.</td>
</tr>
</tbody>
</table>
| **Planned Activities** | Grant year 2015 - Acquisition of land for park open space ($900,000 included in 2015 Consolidated Plan)  
Grant year 2016 - Demolition and clearance for new park site ($60,000 for 2016 Action Plan) |
AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

HUD-funded activities are limited to the City’s Low-and Moderate-Income area, which encompasses the majority of the City’s residential areas. Areas of the City outside of the CDBG target areas will benefit from activities that are limited-clientele in nature, i.e., a person/household can benefit from a federally assisted program provided they meet the program’s eligibility criteria. Eligibility is typically established by household income and household size.

CDBG program funds will be expended based on program criteria. For example, public services will be available on a citywide basis for qualified beneficiaries; fair housing and program administration activities will also be carried out on a citywide basis. Housing code enforcement and community development projects (i.e. street and park improvement projects) will be carried out in the City’s Low- and Moderate-Income Area; i.e., areas of the City where the majority of residents meet HUD’s Low- and Moderate-Income definition.

HOME funds will be utilized to support housing acquisition, new construction and rehabilitation activities for Very Low-Income homeowners and tenants.

ESG funding will be used to support programs that help prevent homelessness or that assist those already homeless with emergency/transitional housing and related support services.

A portion of ESG and general funds will be concentrated in the Civic Center where the largest community of homeless reside.

Geographic Distribution

<table>
<thead>
<tr>
<th>Target Area</th>
<th>Percentage of Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low-and Moderate-Income area</td>
<td></td>
</tr>
<tr>
<td>Citywide</td>
<td>100</td>
</tr>
</tbody>
</table>

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Funds are used city-wide to benefit the residents of the City of Santa Ana.
Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

As noted earlier, the most important impediment to affordable housing revolves around the lack of Federal and State resources for affordable housing initiatives. The lack of programs and resources to reduce excessive rent or mortgage burdens to qualified persons is a key factor.

Another barrier to affordable housing in Santa Ana is the high cost of housing created by a demand for housing, both existing and new, which exceeds the current supply. Despite the recent downturn in the housing market nationally, housing prices, both purchase and rental, remain high, especially for lower income households.

In addition, the cost of site acquisition is very high, and development costs are also very high. These facts make housing construction expensive and put affordable housing out of the reach of low-income households.

The Housing Needs Assessment also identified stringent criteria in the mortgage origination process due to the foreclosure crisis, which also is outside the scope and control of City policy. In some instances, issues revolving around personal finances (lack of down payment, credit history, employment history) affect the availability of affordable housing for Santa Ana residents.

In an effort to mitigate these barriers, Santa Ana has undertaken the following actions:

- In 2011, the City Council adopted an inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO) that requires Residential Projects with a zone change that allow residential development where such uses were not previously allowed, and include more than 5 units, to provide 15% of all new units in Santa Ana to be affordable to very low-, low- and moderate-income households. The HOO was revised in 2015 to provide 10% of new units to be affordable to very low-income households or 15% of new units to be affordable for low-income households.

- The City utilized limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensures long-term affordability and maintenance of housing units.

- The City’s Housing Authority conducted outreach to rental property owners to encourage participation in the rental assistance program. The Authority’s staff also provided counseling to new/prospective tenants on the importance of building good credit history. Credit counseling was provided by the Consumer Credit Counseling Center, a local nonprofit agency.
### One Year Goals for the Number of Households to be Supported

<table>
<thead>
<tr>
<th>Support Requirement</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Homeless</td>
<td>1057</td>
</tr>
<tr>
<td>Non-Homeless</td>
<td>0</td>
</tr>
<tr>
<td>Special-Needs</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1057</strong></td>
</tr>
</tbody>
</table>

Table 11 - One Year Goals for Affordable Housing by Support Requirement

### One Year Goals for the Number of Households Supported Through

<table>
<thead>
<tr>
<th>Support Type</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rental Assistance</td>
<td>0</td>
</tr>
<tr>
<td>The Production of New Units</td>
<td>0</td>
</tr>
<tr>
<td>Rehab of Existing Units</td>
<td>10</td>
</tr>
<tr>
<td>Acquisition of Existing Units</td>
<td>8</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>18</strong></td>
</tr>
</tbody>
</table>

Table 12 - One Year Goals for Affordable Housing by Support Type
AP-60 Public Housing – 91.220(h)

Introduction

The Santa Ana Housing Authority administers 2,658 Housing Choice Vouchers and does not own or operate and public housing units. It is the intention of the Authority to continue to provide safe, attractive affordable housing to its HCV clients.

Actions planned during the next year to address the needs to public housing

Not Applicable

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Not Applicable

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not Applicable
AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The needs of homeless persons and of persons with special needs are complex and require a wide range of specialized services. Numerous agencies are often involved in the care of a homeless person, providing distinct services such as housing, mental health counseling, employment training, and case work services. A number of activities and services are funded to help the needs of the homeless and other special needs populations. Overall, these services address the high priority of reducing homelessness and the threat of becoming homeless, as well as providing necessary services for the homeless.

There are several groups that have a higher need for affordable housing and have special housing needs. Seniors, people with disabilities, and the chronically homeless are more likely to face housing problems and have difficulty affording housing. Seniors and people with disabilities also have a need for accessible housing, whether for new housing, rehabilitated existing housing, or the adaptation of the housing they currently occupy. In addition to general challenges, seniors may have supportive needs resulting from dementia and increasing physical debilitation from dementia and increasing physical debilitation.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The one-year goals to address the issues include:

- On-going partnership with non-profit Street Outreach teams,
- Increasing the supply of supportive housing for special needs persons
- Preserving existing special needs housing and supportive services
- Adapting existing housing to meet the needs of special needs populations
- Improving access to services for special needs persons
- Assisting the homeless and those at risk of homelessness with access to permanent affordable housing and necessary supportive services
- Coordinating homeless services and encouraging collaboration among Service Providers and the Continuum of Care.
The one-year actions to be taken include:

The availability of 100 Project-Based Vouchers to assist homeless individuals and families access affordable housing with supportive services from the Santa Ana Housing Authority,

Reserving 50% of Santa Ana Housing Authority turnover vouchers for Santa Ana homeless,

Relaxing the local criminal background screening for individuals applying for Housing Authority Vouchers,

Releasing an RFP for up to 50 project based vouchers.

Providing financial assistance to coordinate homeless service efforts in the city's highest populated homeless area.

Provide funding for a Check-In Center where homeless individuals can keep their belongings for short periods of time.

Providing General Funds to assist the homeless in creative programming.

Addressing the emergency shelter and transitional housing needs of homeless persons

These programs listed above will address the needs of the homeless population (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) with a range of services that includes emergency shelter, transitional housing and permanent housing. In addition, ESG funds will provide assistance for both emergency and transitional housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Working closely with the Continuum of Care and the new survey tool to prioritize the most vulnerable chronically homeless become served more quickly. All of the goals listed above were developed to increase housing success.
Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The goals listed above will help these individuals and families in preventing homelessness. The ESG program will fund homeless prevention programs including legal assistance for tenants who have received unlawful detainer notices. The HEART program, funded by ESG provides bus tickets for individuals interested in returning to their home; often following a release from a correctional facility.
AP-75 Barriers to affordable housing – 91.220(j)

Introduction

Through vehicles such as zoning ordinances, subdivision controls, permit systems, and housing codes and standards, the City has attempted to ensure the health, safety, and quality of life of its residents while minimizing the barriers that may impede the development of affordable housing. None of these measures is intended to restrict the affordability of housing, though these regulations may on occasion affect the pricing of housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City has identified several barriers to the development of affordable housing including the following:

- Governmental constraints such as property taxes, land-use controls (e.g., density and zoning restrictions), building codes, building permit fees, on-site/off-site improvement, prevailing wage regulations, and ADA compliance.

- Market constraints primarily the availability, cost, and competition for land. Other constraints include limited funding sources, finance costs, and the costs of construction.

- Available land in the City mostly consists of small parcels that must be assembled for significant new construction projects. Relocation costs and housing replacement requirements for redeveloping improved properties also presents barriers to the development of affordable housing.

- The region’s rents have continued to increase. Higher rents limited the ability of some lower income households to obtain decent and affordable housing. Households with no or poor credit history are severely impacted.

Since governmental restrictions may constrain the production of affordable housing, the City of Santa Ana continues to monitor, analyze and address, as necessary, governmental regulations, land use controls and residential development standards that affect the production and preservation of affordable housing.

The Housing Needs Assessment also identified stringent criteria in the mortgage origination process due to the foreclosure crisis, which also is outside the scope and control of City policy. In some instances,
issues revolving around personal finances (lack of down payment, credit history, employment history) affect the availability of affordable housing for residents. Market factors that tend to restrict affordable housing production are: high land costs, high construction costs, and the availability of financing.

In an effort to mitigate these barriers, Santa Ana has undertaken the following actions:

- City Council adopted an inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO) that requires 15% of all new units in Santa Ana to be affordable to very low-, low- and moderate-income households.

- The City utilizes limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensures long-term affordability and maintenance of housing units.

- The City’s Housing Authority conducts outreach to rental property owners to encourage participation in the rental assistance program. The Authority’s staff also provides counseling to new/prospective tenants on the importance of building good credit history. Credit counseling is provided by the Consumer Credit Counseling Center, a local nonprofit agency.
Introduction

Actions planned to address obstacles to meeting underserved needs

The City of Santa Ana will undertake many activities aimed at addressing the needs of the community’s underserved. The underserved include the elderly, disabled, youth, homeless, and very low-income households. In order to serve these populations, the City will focus limited resources toward upgrading existing housing units and expanding the number of affordable housing units for both owners and renters. Housing rehabilitation and acquisition/development of new rental housing units are examples of activities. Finally, the City and local nonprofits will continue to provide services for underserved City residents. Social services to be funded will include home meal delivery, homelessness housing/support services, and youth programs. By focusing resources on the housing and community needs identified as high priority the City will be able to utilize limited resources more efficiently.

When available, Santa Ana will seek out additional resources beyond federal and local funds to address these needs.

Actions planned to foster and maintain affordable housing

In an effort to maintain and foster affordable housing, Santa Ana will continue to seek ways to achieve this objective. These will include programs and activities such as:

- Implementing the recently passed inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO) that requires Residential Projects with a zone change that allow residential development where such uses were not previously allowed, and include more than 5 units, to provide 15% of all new units in Santa Ana to be affordable to very low-, low- and moderate-income households.

- Using limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensures long-term affordability and maintenance of housing units.

- Continuing the City’s Housing Authority outreach to rental property owners to encourage participation in the rental assistance program. The Authority’s staff also provided counseling to new/prospective tenants on the importance of building good credit history. Credit counseling was provided by the Consumer Credit Counseling Center, a local nonprofit agency.

- Issue 100 units of permanent supportive housing for homeless individuals and families.
• Utilize $1.1 million in HOME Program funds together with 50 project-based vouchers for homeless individuals and families. The 50 project-based vouchers makes available up to $540,000 in existing housing resources to maintain affordable housing.

Actions planned to reduce lead-based paint hazards

In an effort to address the problems caused by lead exposure, the City of Santa Ana has implemented a lead paint hazard identification and notification process as part of its housing programs. This process has been designed to comply with HUD’s lead based paint hazard regulations (Title X), which became effective in September 2000, and was implemented by Santa Ana in January 2002.

All owner-occupied housing units rehabilitated or constructed prior to 1979 are inspected for lead paint hazards. If the inspection finds potential lead-based paint hazards, the subject property is tested – the average cost per test is $450. Program staff indicates that procedures to comply with Title X has added approximately 30 days to the typical housing rehabilitation project.

Actions planned to reduce the number of poverty-level families

The objectives and strategies of this Plan are focused on reducing the number of families in poverty, improving the quality of life for the poorest of families, and lessening the impacts of poverty. Strategies include those addressing affordable housing, special needs housing, homelessness, public facilities, public improvements, and economic development.

The movement of people above the poverty line involves a variety of policies and programs that extend beyond providing opportunities for employment at a living wage. Access to education, transportation, childcare, and housing are key components that can assist persons to secure and retain economically self-sustaining employment.

The City will employ a variety of strategies to help alleviate poverty, including efforts to stimulate economic growth and job opportunities, and to provide Santa Ana residents with the skills and abilities required to take advantage of those opportunities. More specifically, the City will undertake a range of activities and services to combat poverty including:

• Affordable housing programs including rehabilitation, rental assistance, and creation of new affordable housing units (via new construction or acquisition/rehabilitation).

• Homeless assistance including prevention activities, emergency shelter, transitional housing, and access to permanent housing opportunities. Support services will be blended with these activities.

• Public safety to prevent criminals from victimizing the poor.

• Public facility improvements to improve the overall infrastructure of the City, but more specifically to
eliminate public improvements/infrastructure that negatively impact residential neighborhoods.

- Job training, job-search skills and job placement to provide those living in poverty with employment opportunities that pay higher wages.

- Youth recreation and diversion services which provide youth living in poverty with alternatives to gang and crime activities.

The Housing Authority will continue to implement the Family Self-Sufficiency (FSS) program, which will provide rental assistance, life skills training and referrals to employment training for program participants.

**Actions planned to develop institutional structure**

The City of Santa Ana will strengthen institutional structures and enhance coordination between public and private housing and social service agencies, and foster assisted housing improvements and resident initiatives. The City works closely with local institutions to initiate programs that link economic incentives with neighborhood and community development objectives, including building relationships with neighborhood associations, community based lending agencies, nonprofits, and educational institutions. The City also supports programs aimed at enhancing coordination among various City departments. The City will continue to utilize a network of referrals, contacts and partnerships to implement the strategies outlined in the Consolidated Plan.

In the area of housing, the City will continue to build its relationship with local housing providers such as private developers, nonprofit developers, and neighboring housing authorities, to ensure that limited housing resources are utilized in the most efficient and effective manner possible. The City also will continue to participate in the regional Continuum of Care sub-committee's in order to identify the housing and service needs of the County’s homeless.

**Actions planned to enhance coordination between public and private housing and social service agencies**

Additionally, the Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) have developed the Orange County ESG Collaborative as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.
Program Specific Requirements
AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(l)(1)
Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed 0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee’s strategic plan. 0
3. The amount of surplus funds from urban renewal settlements 0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan 0
5. The amount of income from float-funded activities 0
Total Program Income: 0

Other CDBG Requirements

1. The amount of urgent need activities 0

2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. 100.00%
HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Santa Ana does not use HOME funds in any other manner than those described in Section 92.205(b).

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Not Applicable

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Not Applicable

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City of Santa Ana has no plans to use HOME funds for this purpose.

Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

Emergency Solutions Grants Program will be used for activities under five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS costs, as well as administrative activities. ESG applications go through an open and transparent proposal process. The Community Development Agency is responsible for ensuring that the ESG Program is implemented in accordance with all federal rules and regulations.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

In FY 15/16, the City provided funding for the development of the Coordinated Entry system and ongoing data entry system, HMIS. Both of these programs are through the Continuum of Care.
in FY 16/17, funding will be provided for HMIS data and Coordinated Entry. This includes a survey tool called VI-SPDAT to measure the vulnerability of the chronically homeless and provide matching housing.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

For FY16/17 ESG funding, the Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) developed the Orange County ESG Collaborative as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.

Following the Collaborative review, the recommendation is taken before the Redevelopment and Housing Commission followed by approval by the City Council. Allocations were made with considerations of ensuring that program funds would be available for homelessness prevention, rapid-rehousing, shelter, data and street outreach.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City works closely with the County homeless services staff as well as local homeless service providers, homeless advocacy groups, the OC Homeless Task Force, neighboring cities and homeless individuals.

5. Describe performance standards for evaluating ESG.

The City utilizes the HUD monitoring ESG handbook to monitor each program's performance to ensure that goals are on track and funds are used accordingly. Quarterly, invoices are reviewed to ensure program compliance. This also includes a quarterly financial invoice monitoring by City Accounting staff or an outside financial monitoring contract.

The City works closely with the OC Collaborative to standardize as much as possible.
Attachments
AFFIDAVIT OF PUBLICATION
STATE OF CALIFORNIA,
)

County of Orange
)

I am an citizen of the United States and a resident of the County aforesaid; I am over the age of eighteen years, and not a party to be interested in the above captioned matter. I am the principal clerk of The Orange County Register, a newspaper of general circulation, published in the city of Santa Ana, County of Orange, and which newspaper has been adjudged to be a newspaper of general circulation by the Superior Court of the County of Orange, State of California, under the date of November 19, 1960, Case No. A-21034, that the notice, of which the present is a true printed copy, has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to wit:
March 9, 2016
I certify (or declare) under the penalty of perjury under the laws of the State of California that the foregoing is true and correct:

Executed at Santa Ana, Orange County, California, on

Date: March 9, 2016

[Signature]

The Orange County Register
625 N. Grand Ave.
Santa Ana, CA 92701
(714) 708-2200

PROOF OF PUBLICATION

PUBLIC NOTICE
NOTICE OF PUBLIC HEARING TO BE HELD BY THE CITY OF SANTA ANA COMMUNITY DEVELOPMENT & HOUSING
COMMISSION ON THE PROPOSED INCLUSION OF OBJECTS IN THE 2016 ANNUAL ACTION PLAN OF THE GOVERNMENT OF THE CITY OF SANTA ANA.

1. The City of Santa Ana, California, (hereinafter "the City") is seeking to develop a 2016 Annual Action Plan (AAP) for the Comprehensive Annual Financial Report (CAFR), as required under the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B. The City of Santa Ana, California is required to provide a 2016 AAP that is consistent with the requirements of the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B.

2. The City of Santa Ana, California, (hereinafter "the City") is seeking to develop a 2016 Annual Action Plan (AAP) for the Comprehensive Annual Financial Report (CAFR), as required under the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B. The City of Santa Ana, California is required to provide a 2016 AAP that is consistent with the requirements of the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B.

3. The City of Santa Ana, California, (hereinafter "the City") is seeking to develop a 2016 Annual Action Plan (AAP) for the Comprehensive Annual Financial Report (CAFR), as required under the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B. The City of Santa Ana, California is required to provide a 2016 AAP that is consistent with the requirements of the Uniform System of Accounts for General Governments and the Uniform System of Accounts for Superintendents of Public Instruction, and the Governmental Accounting Standard Board (GASB), GASB Statement No. 45, Appendix B.
Citizen Participation Comments

Annual Action Plan (AAP) was available for a 30 day public comment period from March 19 until April 19, 2016. The AAP was made available online and in hard copy at the City of Santa Ana.

A public hearing was held on March 23, 2016 to discuss the Plan. The public hearing was announced in local newspapers on March 9, 2016. Comments received during the public hearing and public comment period were shared with all stakeholders and incorporated into the Annual Action Plan.

Kathleen Davis Bowman, representing WISEPlace, spoke in support of the Annual Action Plan.
<table>
<thead>
<tr>
<th>Application for Federal Assistance SF-424</th>
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</thead>
<tbody>
<tr>
<td><strong>9.</strong> Type of Applicant 1: Select Applicant Type:</td>
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<tr>
<td>City or Township Government</td>
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<tr>
<td><strong>10.</strong> Name of Federal Agency:</td>
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<tr>
<td><strong>11.</strong> Catalog of Federal Domestic Assistance Number:</td>
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<tr>
<td>472.018</td>
</tr>
<tr>
<td>CFDA Title: Community Development Block Grant</td>
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<tr>
<td><strong>12.</strong> Funding Opportunity Number:</td>
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<tr>
<td><strong>13.</strong> Competition Identification Number:</td>
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<tr>
<td><strong>14.</strong> Areas Affected by Project (Cities, Counties, States, etc.):</td>
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<tr>
<td><strong>15.</strong> Descriptive Title of Applicant’s Project:</td>
</tr>
<tr>
<td>Notes to be used to support improvement of public facilities and infrastructure in the City's Low/</td>
</tr>
<tr>
<td>Need areas, housing rehab, homeowner assistance, economic development, and public services.</td>
</tr>
<tr>
<td>Add Attachment</td>
</tr>
<tr>
<td>Add Attachments</td>
</tr>
</tbody>
</table>

Annual Action Plan
2016
Application for Federal Assistance SF-424

16. Congressional District of:
   a. Applicant: 45, 47
   b. Program/Project: 46, 47

17. Proposed Project:
   a. Start Date: 07/30/2016
   b. End Date: 09/30/2017

18. Estimated Funding (s):
   a. Federal: 5,206,252.00
   b. Applicant: 5,205,253.00
   c. State: 5,204,254.00
   d. Local: 5,203,255.00
   e. Other: 5,202,256.00
   f. Program Income: 5,201,257.00
   g. TOTAL: 5,206,252.00

19. Is the application subject to review by State under Executive Order 12372 Process?
   a. This application was made available to the State under the Executive Order 12372 Process for review on
   b. Program is subject to E.O. 12372 but not been selected by the State for review.
   c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
   a. Yes
   b. No

21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting forms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21, Section 1081)
   a. I AGREE

   ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

First Name:
Last Name:
Middle Name:
Title: City Manager
Telephone Number: 714-637-5200
Fax Number: 714-637-5113
*Email:

*Signature of Authorized Representative:

* Date Signed: 06/21/2016

Annual Action Plan
2016

OMB Control No: 2506-0117 (exp. 07/31/2015)
**Application for Federal Assistance SF-424**

<p>| | |</p>
<table>
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<tr>
<td><strong>1. Type of Submission</strong></td>
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<tr>
<td>✔ Application</td>
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<tr>
<td></td>
<td>Construction</td>
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<tr>
<td></td>
<td>Revision</td>
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<tr>
<td><strong>5. Date Received:</strong></td>
<td></td>
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<tr>
<td><em>n. Legal Name: City of Santa Ana</em></td>
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<tr>
<td><strong>2. Type of Application:</strong></td>
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<tr>
<td>✔ New</td>
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<td></td>
<td>Other (specify):</td>
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<td><strong>2a. Federal Entity Identifier:</strong></td>
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<td><strong>6. Data Received by State:</strong></td>
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<tr>
<td><strong>7. State Application Identifier:</strong></td>
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**APPICANT INFORMATION**

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<table>
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<tr>
<td><strong>Address:</strong></td>
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<tr>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Street:</strong></td>
<td>10 Civic Center Plaza</td>
</tr>
<tr>
<td><strong>City:</strong></td>
<td>Santa Ana</td>
</tr>
<tr>
<td><strong>County:</strong></td>
<td></td>
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<tr>
<td><strong>State:</strong></td>
<td>CA California</td>
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<tr>
<td><strong>Zip Code:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>ZIP Code:</strong></td>
<td>92101-8928</td>
</tr>
<tr>
<td><strong>Organizational Unit:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Department Name:</strong></td>
<td>Community Development Agency</td>
</tr>
<tr>
<td><strong>Division Name:</strong></td>
<td>Housing Division</td>
</tr>
</tbody>
</table>

**Name and Contact Information of Person to be Contacted on Matters Involving this Application:**

<p>| | |</p>
<table>
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<tbody>
<tr>
<td><strong>Prefix:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>First Name:</strong></td>
<td>Paul</td>
</tr>
<tr>
<td><strong>Last Name:</strong></td>
<td>Brown</td>
</tr>
<tr>
<td><strong>Suffix:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Title:</strong></td>
<td>Housing Division Manager</td>
</tr>
<tr>
<td><strong>Organizational Affiliation:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Telephone Number:</strong></td>
<td>714-697-2281</td>
</tr>
<tr>
<td><strong>Fax Number:</strong></td>
<td>714-697-2285</td>
</tr>
<tr>
<td><strong>Email:</strong></td>
<td><a href="mailto:brown@cityofsan.org">brown@cityofsan.org</a></td>
</tr>
</tbody>
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**Annual Action Plan**

**2016**

OMB Control No: 2506-0117 (exp. 07/31/2015)
<table>
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<th>Application for Federal Assistance SF-424</th>
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<td>* 9. Type of Applicant 1: Select Applicant Type:</td>
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<td>City or Township Government</td>
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<td>Type of Applicant 2: Select Applicant Type:</td>
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<td>Type of Applicant 3: Select Applicant Type:</td>
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<tr>
<td>Other (specify):</td>
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<tr>
<td>* 10. Name of Federal Agency:</td>
</tr>
<tr>
<td>Department of Housing and Urban Development</td>
</tr>
<tr>
<td>* 11. Catalog of Federal Domestic Assistance Number:</td>
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<tr>
<td>236</td>
</tr>
<tr>
<td>CPDA Title</td>
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<tr>
<td>2016</td>
</tr>
<tr>
<td>1018 Investment Partnerships Program</td>
</tr>
<tr>
<td>* 12. Funding Opportunity Number:</td>
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<td>* 13. Competition Identification Number:</td>
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<td>* 14. Areas Affected by Project (City, County, States, etc.):</td>
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<td>Add Attachment</td>
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<tr>
<td>Move Attachment</td>
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<tr>
<td>* 15. Descriptive Title of Applicant's Project:</td>
</tr>
<tr>
<td>This is the area of the City's supply of affordable housing and program administration.</td>
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<tr>
<td>Attach supporting documents as specified in agency instructions.</td>
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<tr>
<td>Add Attachment</td>
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<tr>
<td>Delete Attachment</td>
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<td>Move Attachment</td>
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</tbody>
</table>
## Application for Federal Assistance SF-424

### 15. Congressional Districts Of:

<table>
<thead>
<tr>
<th>a. Applicant</th>
<th>b. Program/Project</th>
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<tbody>
<tr>
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</table>

Note: An additional list of Program/Project Congressional Districts involved.

**Add Attachment**
**Delete Attachment**
**View Attachment**

### 17. Proposed Project:

<table>
<thead>
<tr>
<th>a. Start Date</th>
<th>b. End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>07/01/2016</td>
<td>06/30/2017</td>
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</table>

### 18. Estimated Funding ($):

<table>
<thead>
<tr>
<th>a. Federal</th>
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<tbody>
<tr>
<td>1,194,173.00</td>
</tr>
<tr>
<td>b. Applicant</td>
</tr>
<tr>
<td>c. State</td>
</tr>
<tr>
<td>d. Local</td>
</tr>
<tr>
<td>e. Other</td>
</tr>
<tr>
<td>Program Income</td>
</tr>
<tr>
<td>g. TOTAL</td>
</tr>
</tbody>
</table>

### 19. Is Application Subject to Review by State Under Executive Order 12372 Process?

- [x] a. This application has been made available to the State under the Executive Order 12372 Process for review.
- [x] b. Program is subject to E.O. 12372 but has not been addressed by the State for review.
- [ ] c. Program is not covered by E.O. 12372.

### 20. Is the Applicant Debarred from Any Federal Dollar? (If Yes, provide explanation in attachment)

- [ ] Yes
- [x] No

If "Yes," provide explanation and attach.

### 21. "By signing this application, I certify (1) to the statements contained in the list of certifications; and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any required terms of offer except award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties (U.S. Code, Title 28, Section 1381)"

- [x] I AGREE

*The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency solicitation.*

### Authorized Representative:

- **Title:** City Manager

- **Name:**
  - First Name: Savin

- **Address:**
  - Street:

- **Telephone Number:** 334-502-5000
  - Fax Number: 714-507-0711

- **Email:** Savinm@sanjose-ma.org

- **Signature of Authorized Representative:**

- **Date Signed:** 4/23/2016

---

**Annual Action Plan**

2016

OMB Control No: 2506-0117 (exp. 07/31/2015)
Application for Federal Assistance SF-424

9. Type of Applicant 1: Selected Applicant Type:
   City or Township Government

Type of Applicant 2: Selected Applicant Type:

Type of Applicant 3: Selected Applicant Type:

Other (specify):

10. Name of Federal Agency:
    Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:
    47.223
    CFDA Title:
    Emergency Solutions Grant Program

12. Funding Opportunity Number:
    SP
    Title:

13. Competition Identification Number:
    #
    Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

15. Descriptive Title of Applicant’s Project:
    Funds to be used to support homeless shelter operations, homeless prevention, rapid re-housing, direct outreach, administration and data.

Attach supporting documents as specified in agency instructions:

Add Attachment  Delete Attachment  View Attachment
Application for Federal Assistance SF-424

16. Congressional Districts Of:
   a. Applicant [ ]
   b. Program/Project [ ]

17. Proposed Project:
   a. Start Date: 07/01/2014
   b. End Date: 06/30/2017

18. Estimated Funding ($):
   a. Federal
   b. Applicant
   c. State
   d. Local
   e. Other
   ** Program Income
   f. TOTAL

19. Is Application Subject to Review By State Under Executive Order 12372 Process?
   [ ] a. This application was made available to the State under the Executive Order 12372 Process for review at: ____________________________.
   [ ] b. Program is subject to E.O. 12372 but has not been selected by the State for review
   [ ] c. Program is not covered by E.O. 12372.

20. Is the Applicant Declarant on Any Federal Debt? (If "Yes," provide explanation in attachment.)
   [ ] a. Yes
   [ ] b. No
   If "Yes", provide explanation and attach

21. By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required documentation* and agree to comply with any award terms. I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 21, Section 1011)
   [ ] I AGREE

* The list of certifications and assurances, or an internet site where you may obtain the list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Title: [City Manager]
Phone: [714-647-2200]
Fax: [714-647-2210]
Email: [acavard@anta-ana.org]

[Signature of Authorized Representative]

* Debt Signed: 07/11/2016
CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan, the jurisdiction certifies that:

Affirmatively Further Fair Housing — The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(e) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying — To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-112, "Disclosure Form to Report Lobbying," in accordance with its instructions, and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction — The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan — The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 — It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 133.

Signature/Authorized Official

Date 11/27/16
Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan – It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2016, (as period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessment. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 104, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force – It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction.
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

[Signature]
Authorized Official

City Manager
Title
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

Signature/Authorized Official Date

City Manager Title
ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long as the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from
publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature/Authorized Official

Date 3.2.16

City Manager

Title
APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.